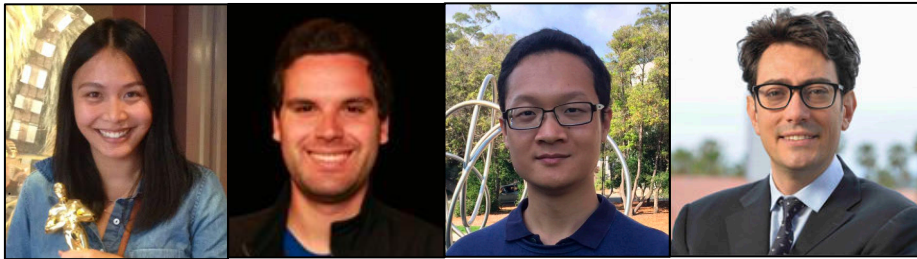


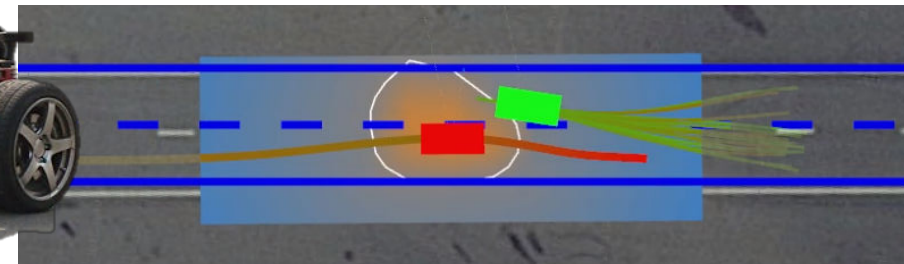
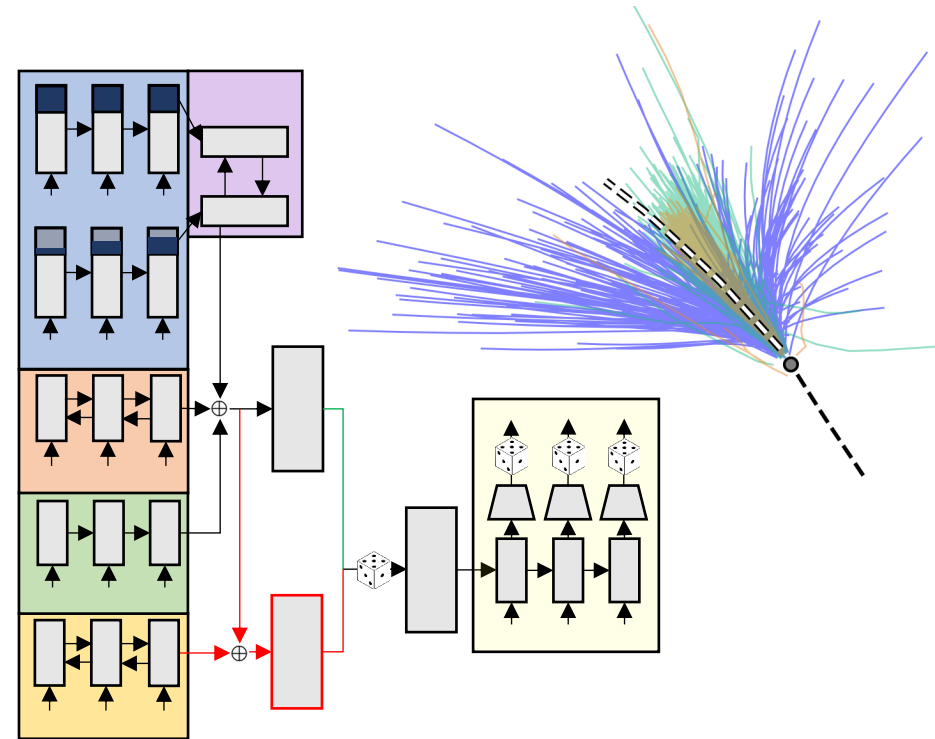
# Mitigating the “Element of Surprise” in Model-Based Robot Planning

Edward Schmerling

Joint work with Karen Leung, Boris Ivanovic, Prof. Mo Chen, Prof. Marco Pavone, et al.



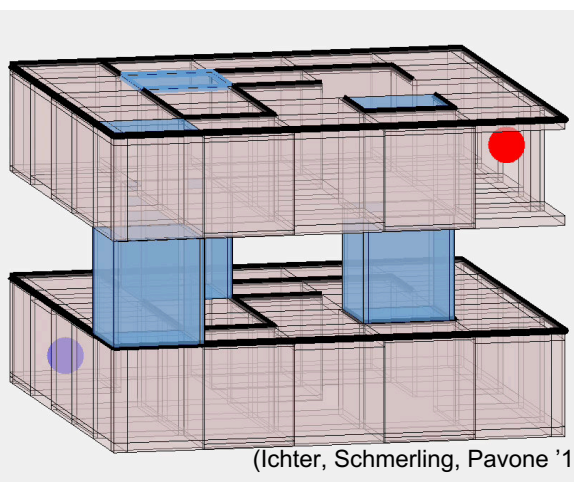
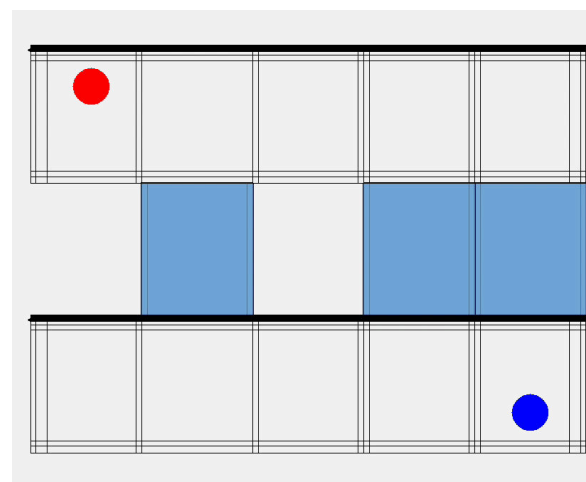
Stanford University

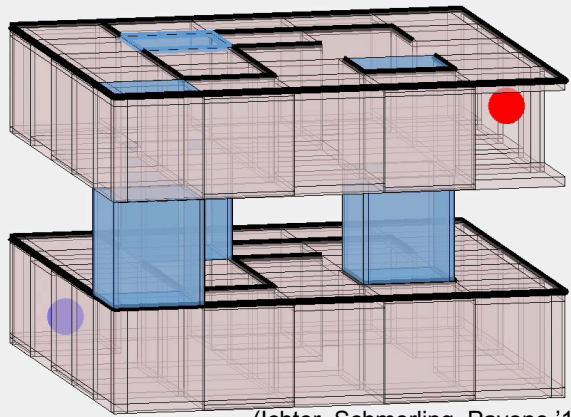
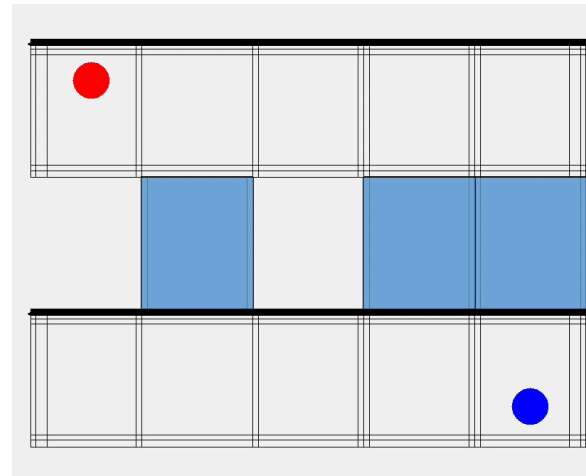
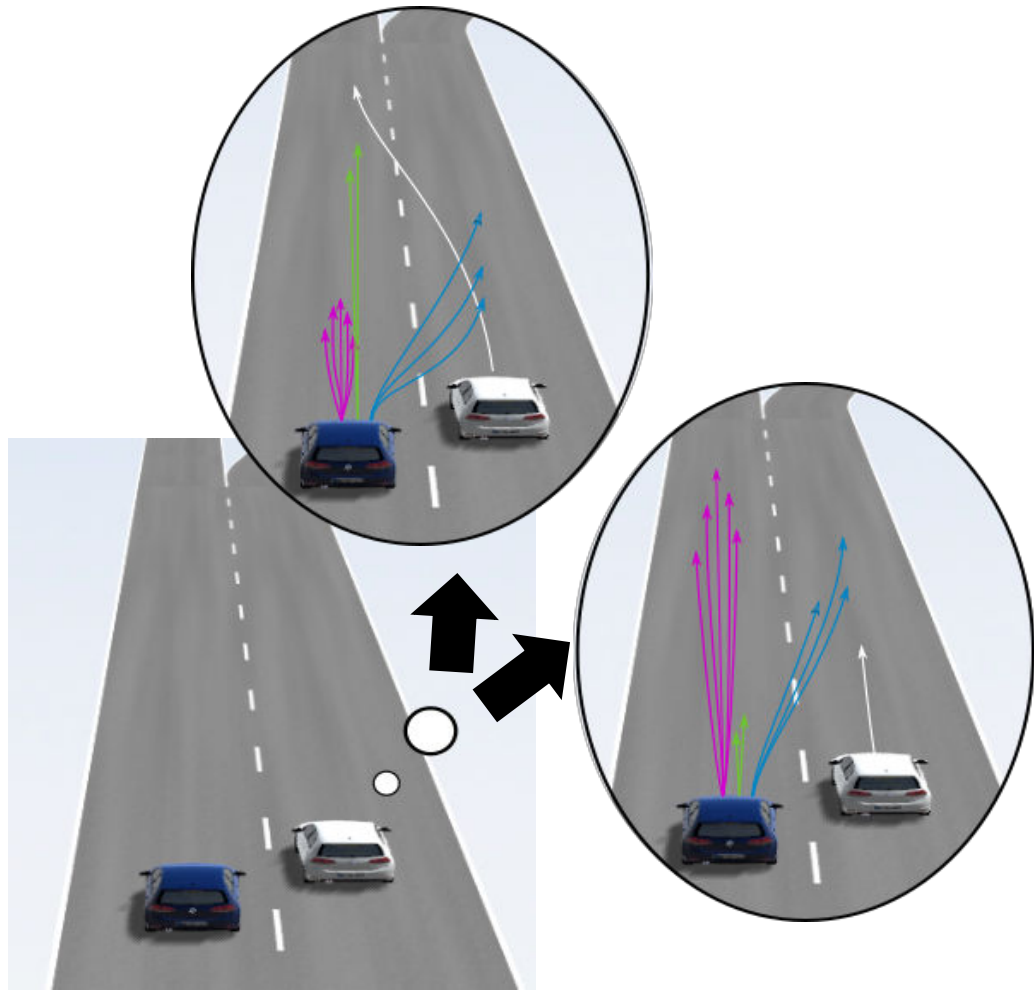




Geoff Caddick - [https://www.blinknews.com/wp-content/uploads/2017/05/ron\\_harbour\\_int-631893050-1025x685.jpg](https://www.blinknews.com/wp-content/uploads/2017/05/ron_harbour_int-631893050-1025x685.jpg)





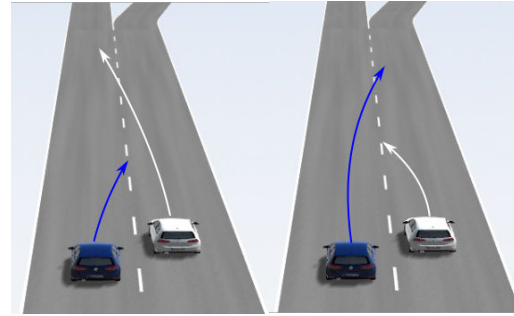


(Ichter, Schmerling, Pavone '17)

# The “Element of Surprise” in HRI

---

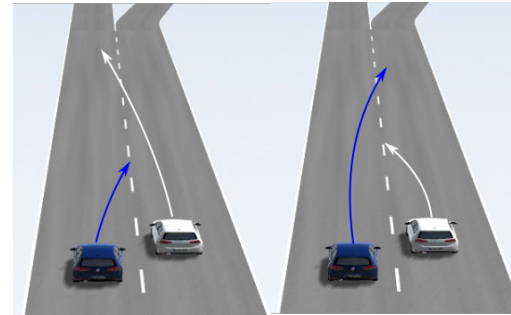
- Intent-ambiguous scenarios  
Multiple highly distinct possible outcomes



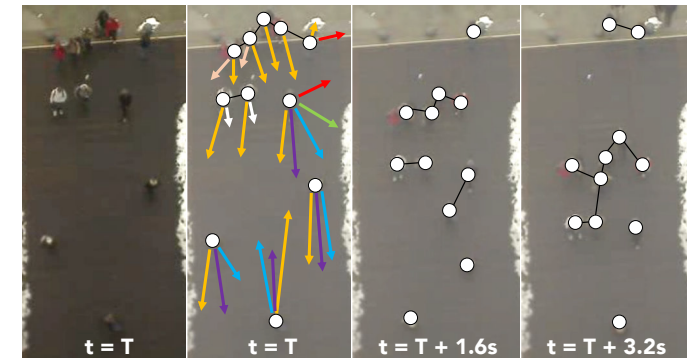
# The “Element of Surprise” in HRI

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- Intent-ambiguous scenarios  
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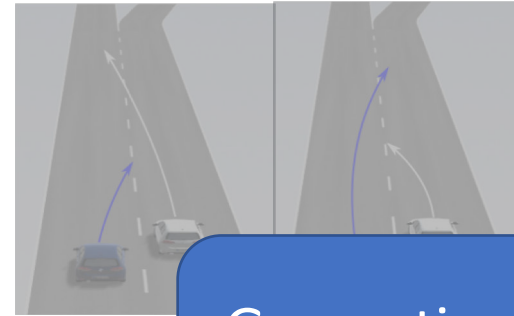
- Dynamically evolving scenarios  
Variable number of agents coming into and out of relevance



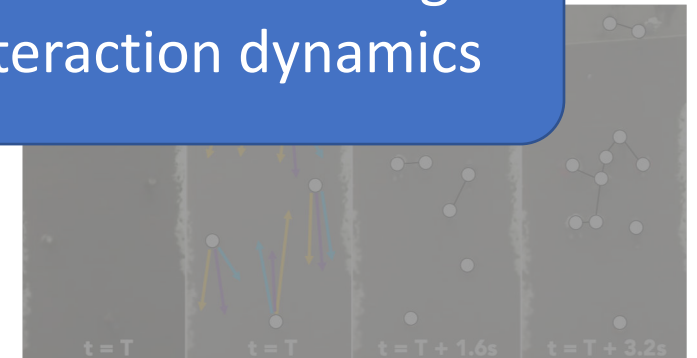
# The “Element of Surprise” in HRI

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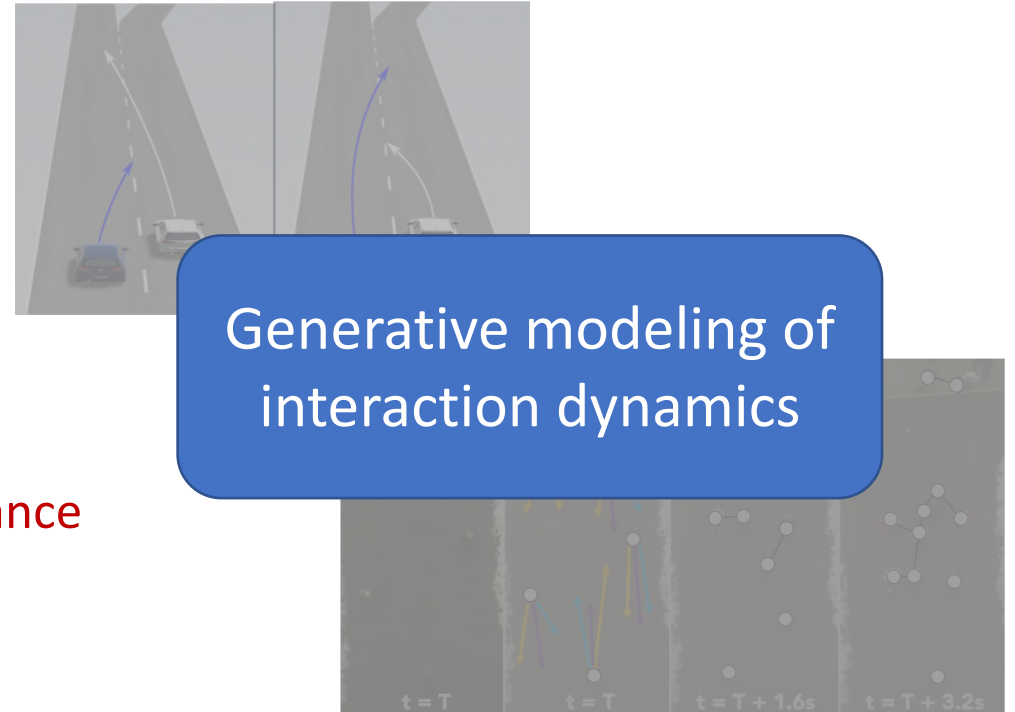
Generative modeling of  
interaction dynamics



# The “Element of Surprise” in HRI

---

- Intent-ambiguous scenarios  
Multiple highly distinct possible outcomes
- Dynamically evolving scenarios  
Variable number of agents coming into and out of relevance
- Scenarios where these predictive models fail?  
Humans that consistently defy a robot’s expectations

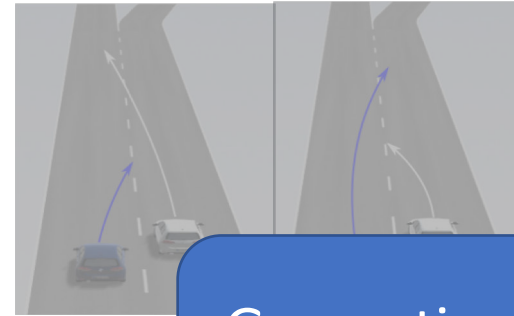




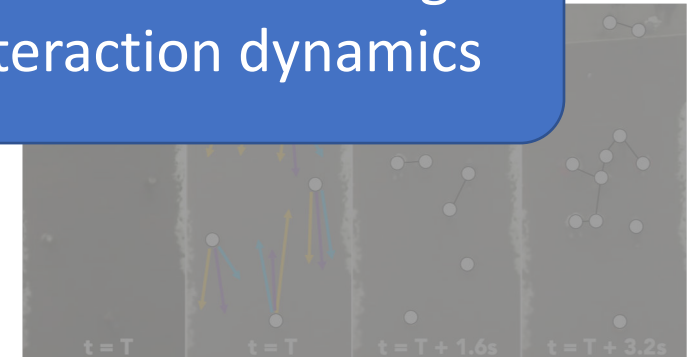
# The “Element of Surprise” in HRI

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Humans that consistently defy a robot’s expectations



Generative modeling of interaction dynamics



Deterministic safety controller



# Probabilistic Agent Modeling

---

We consider generative models of human action distributions conditioned on

- Joint interaction history of all agents in the scene
- Candidate robot future action sequence

$$x_H^{(t+1)} = f_H(x_H^{(t)}, u_H^{(t)}) \quad (\text{human})$$

$$x_R^{(t+1)} = f_R(x_R^{(t)}, u_R^{(t)}) \quad (\text{robot})$$

$$x^{(t)} = (x_H^{(t)}, x_R^{(t)}) \quad (\text{joint state})$$

$$u^{(t)} = (u_H^{(t)}, u_R^{(t)}) \quad (\text{joint control})$$

$$\underbrace{p(u_H^{(t+1:t+N)})}_{\mathbf{y}} \mid \underbrace{x^{(0:t)}, u^{(0:t)}, u_R^{(t+1:t+N)}}_{\mathbf{x}} = \prod_{i=1}^N p(u_H^{(t+1)} \mid x^{(0:t)}, u^{(0:t)}, u_R^{(t+1:t+N)}, u_H^{(t+1:t+i-1)})$$

Human future                      Joint history, robot future

# Probabilistic Agent Modeling

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$\underbrace{\hspace{10em}}_{\mathbf{y}}$        $\underbrace{\hspace{15em}}_{\mathbf{x}}$        $\underbrace{\hspace{30em}}_{\text{Apply neural network function approximator}}$

Human future      Joint history, robot future      Apply neural network function approximator

# Probabilistic Agent Modeling

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$$p(\underbrace{u_H^{(t+1:t+N)}}_{\mathbf{y}} \mid \underbrace{x^{(0:t)}, u^{(0:t)}, u_R^{(t+1:t+N)}}_{\mathbf{x}}) = \prod_{i=1}^N p(u_H^{(t+1)} \mid x^{(0:t)}, u^{(0:t)}, u_R^{(t+1:t+N)}, u_H^{(t+1:t+i-1)})$$

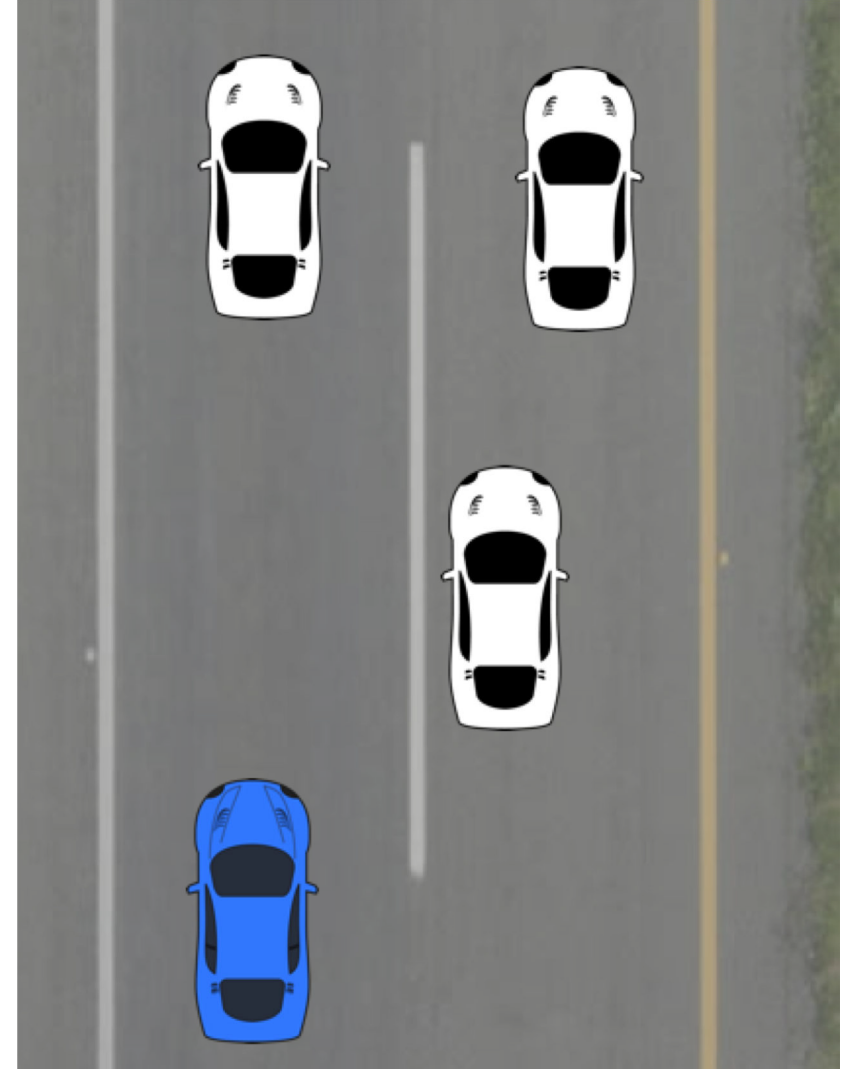
For robot planning purposes we want models that are:

- Capable of capturing the full breadth of future outcomes (i.e., not regression)
- Amenable to computationally efficient sampling
- **Flexible** with respect to evolving multi-agent scenarios yet **scalable** to tens of possibly relevant agents → we seek a modular model architecture

# Multi-Agent Trajectory Prediction

---

Take the following highway scene as an example:



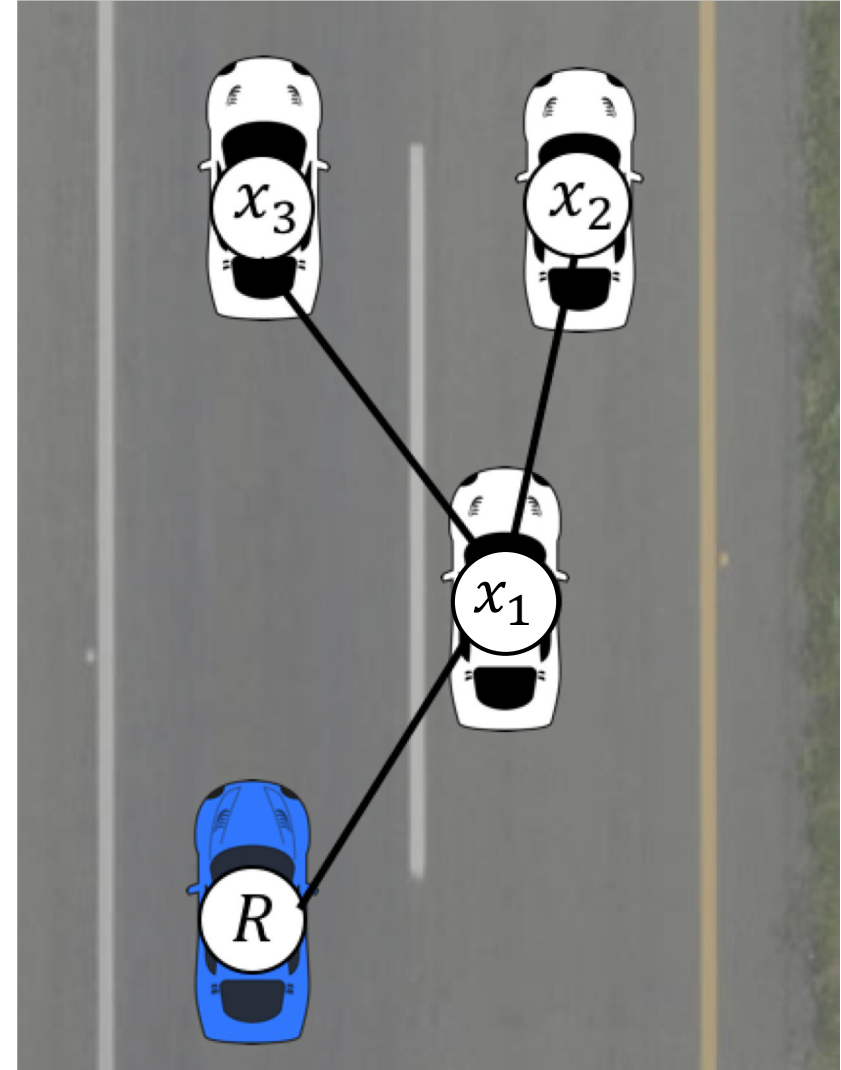
# Multi-Agent Trajectory Prediction

---

Take the following highway scene as an example:

Entities in the scene are represented as **nodes** in a spatiotemporal graph.

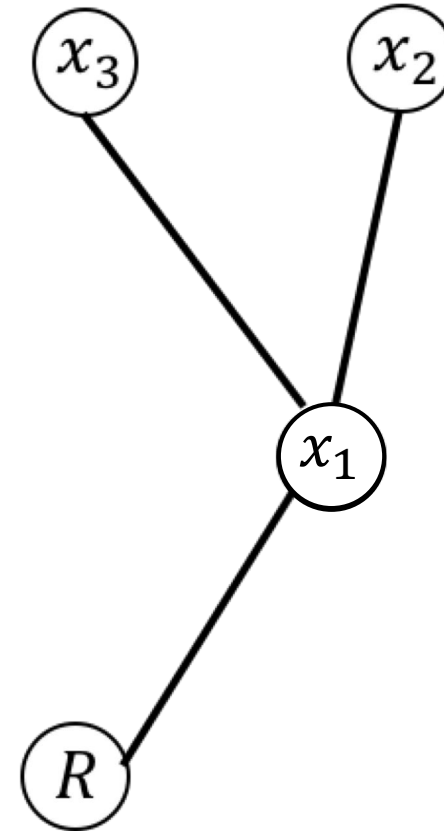
Their interactions are encoded as **edges** (formed according to spatial proximity).



# Multi-Agent Trajectory Prediction

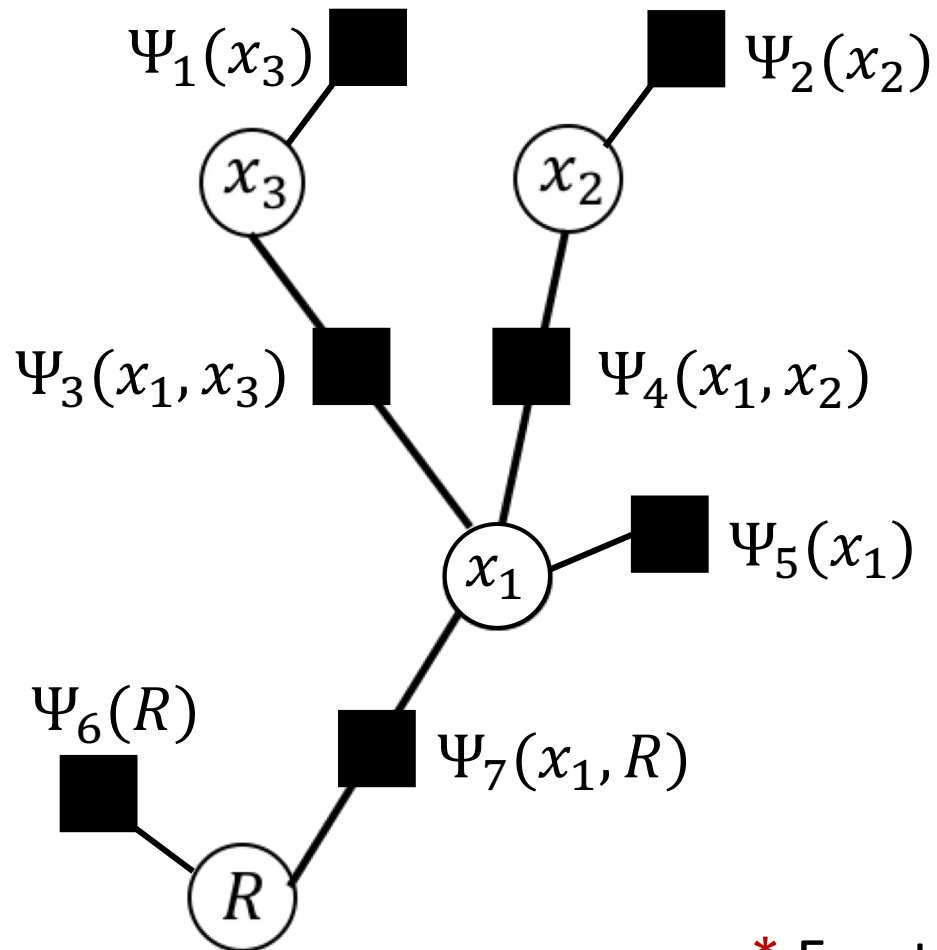
---

Now we can focus on modeling the resulting spatiotemporal graph.



# Multi-Agent Trajectory Prediction

---



$$P(x_1 | x_3, x_2, R) \propto \prod_{i=1}^7 \Psi_i(\dots)$$

Our approach is inspired\* by  
Conditional Random Fields (CRFs)

\* Exact inference/sampling on CRFs can be very expensive



# Multi-Human Modeling with Spatiotemporal Graphs

---

Conditional Variational Autoencoder (CVAE)

- We introduce a discrete latent variable  $\mathbf{z}$

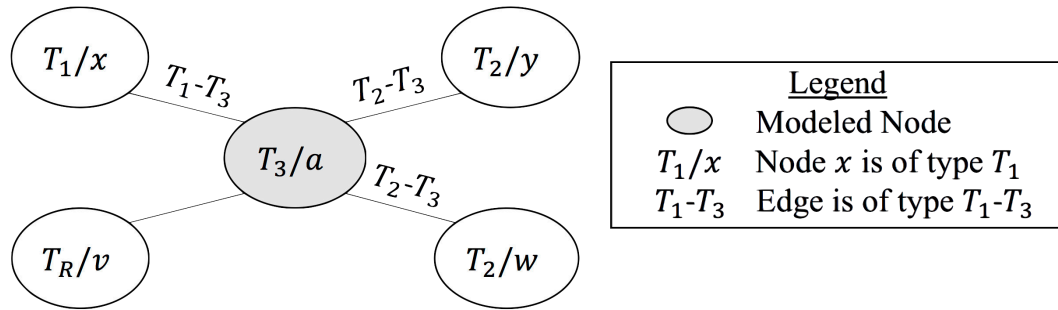
$$p(\mathbf{y}|\mathbf{x}) = \sum_{\mathbf{z}} p(\mathbf{y}|\mathbf{x}, \mathbf{z})p(\mathbf{z}|\mathbf{x})$$

# Multi-Human Modeling with Spatiotemporal Graphs

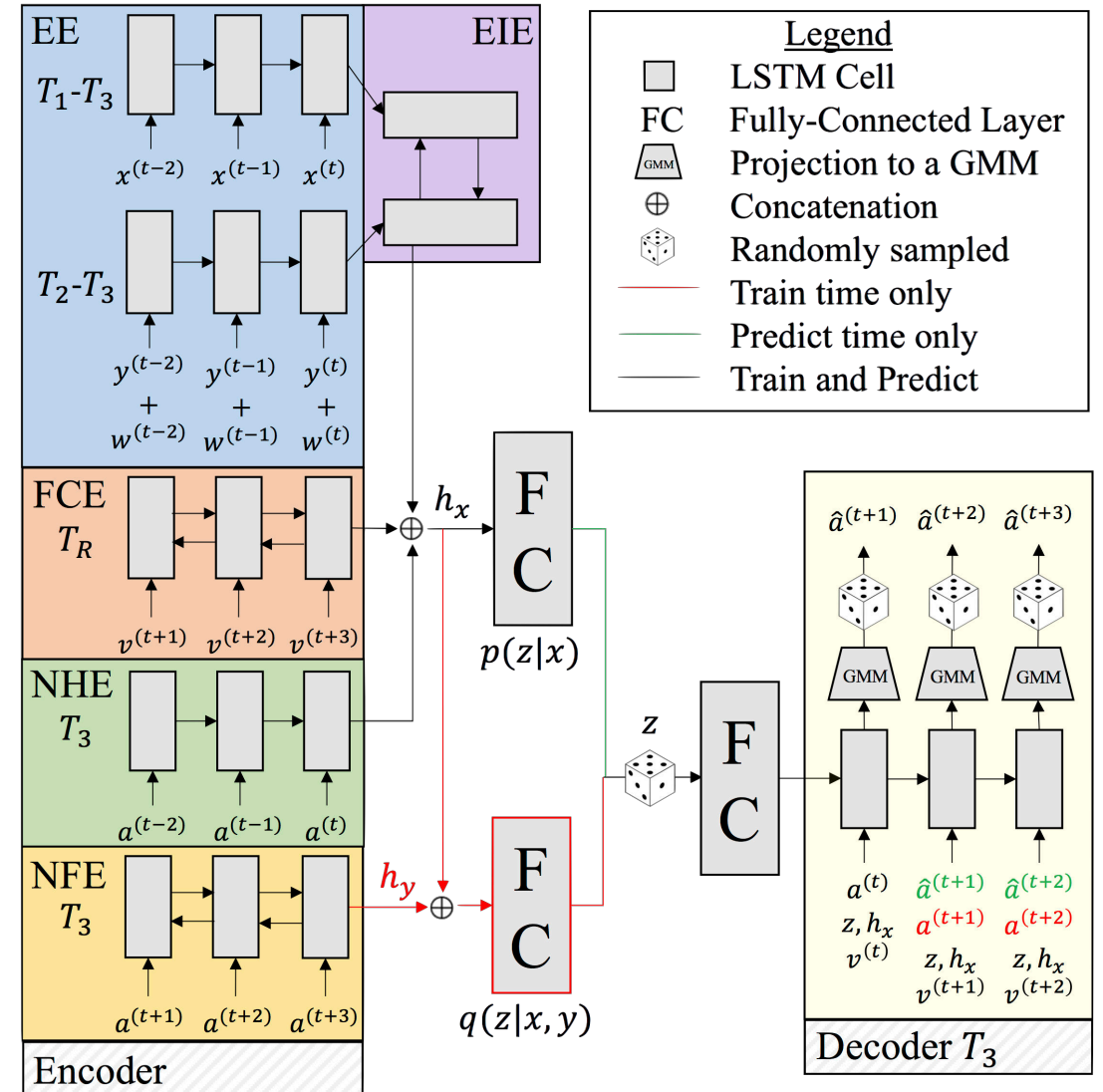
## Conditional Variational Autoencoder (CVAE)

- We introduce a discrete latent variable  $\mathbf{z}$

$$p(\mathbf{y}|\mathbf{x}) = \sum_{\mathbf{z}} p(\mathbf{y}|\mathbf{x}, \mathbf{z})p(\mathbf{z}|\mathbf{x})$$



$$\max_{\phi, \theta, \psi} \sum_{i=1}^N \mathbb{E}_{z \sim q_{\phi}(z|\mathbf{x}_i, \mathbf{y}_i)} [p_{\psi}(\mathbf{y}_i|\mathbf{x}_i, z)] - D_{KL}(q_{\phi}(z|\mathbf{x}_i, \mathbf{y}_i) \parallel p_{\theta}(z|\mathbf{x}_i))$$

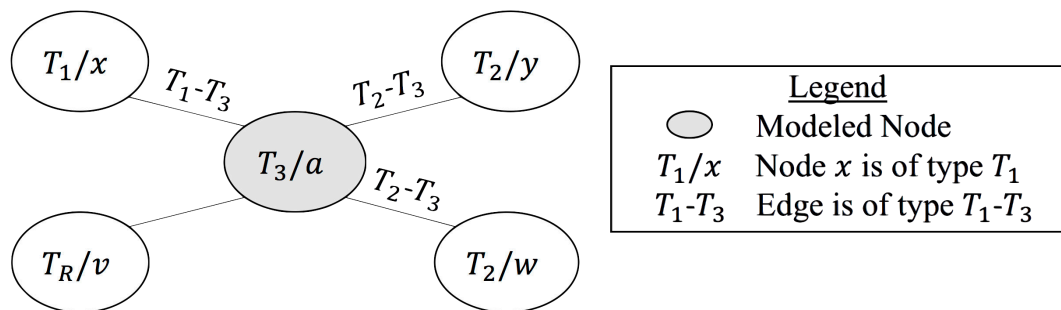


# Multi-Human Modeling with Spatiotemporal Graphs

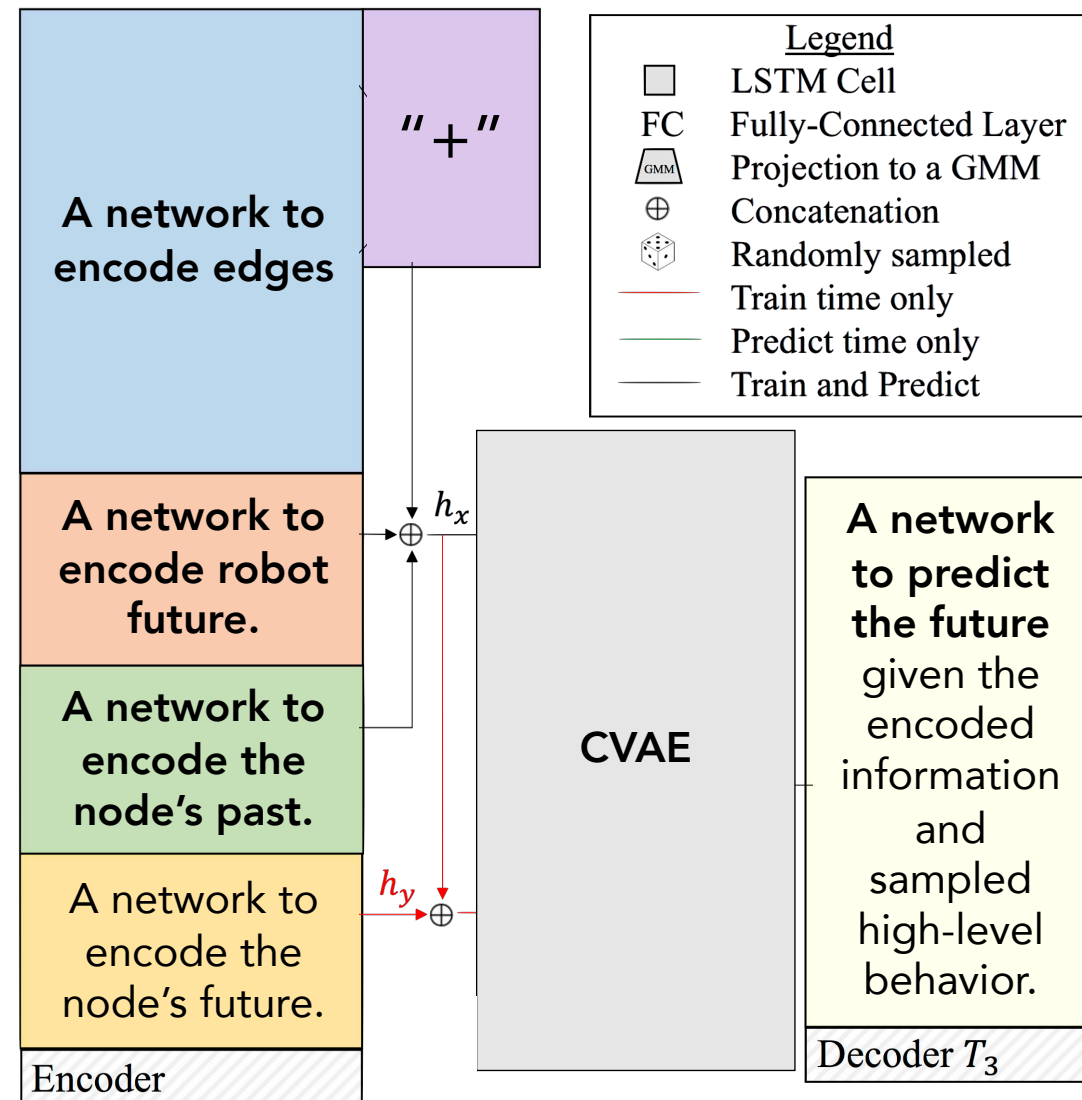
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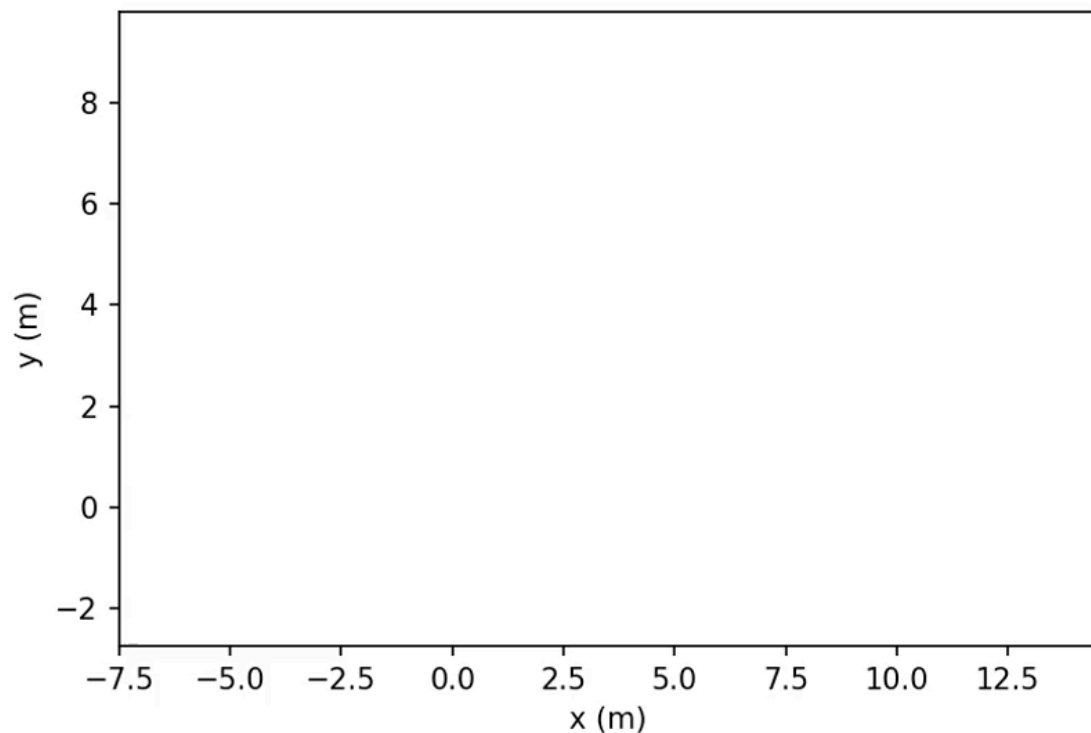


# Multi-Human Modeling with Spatiotemporal Graphs

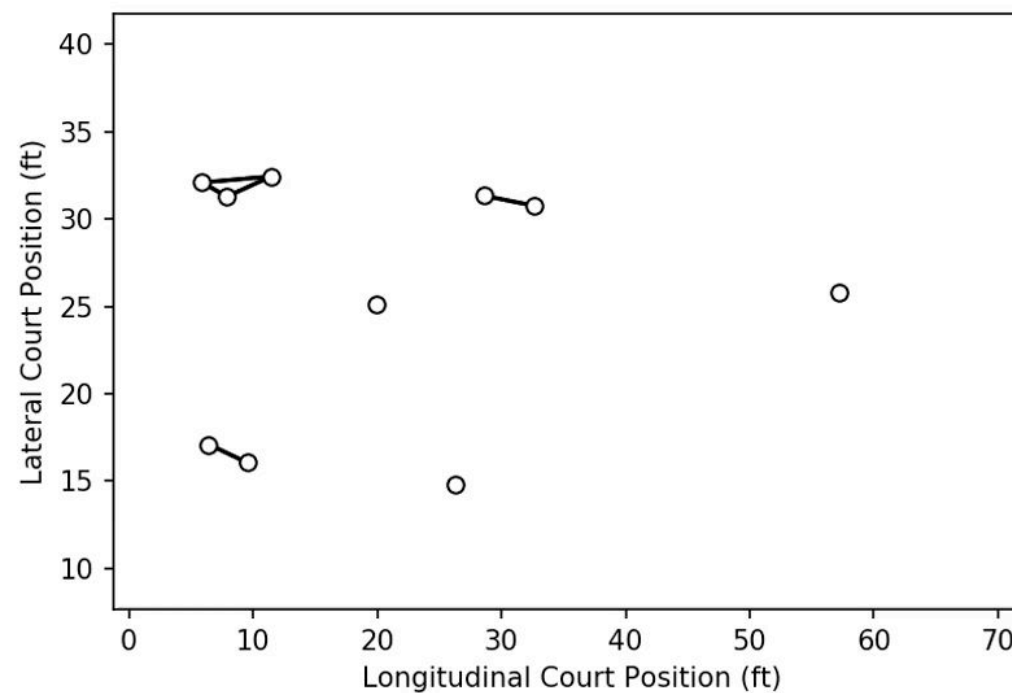
---

What if the scene structure changes?

ETH Pedestrians Dataset



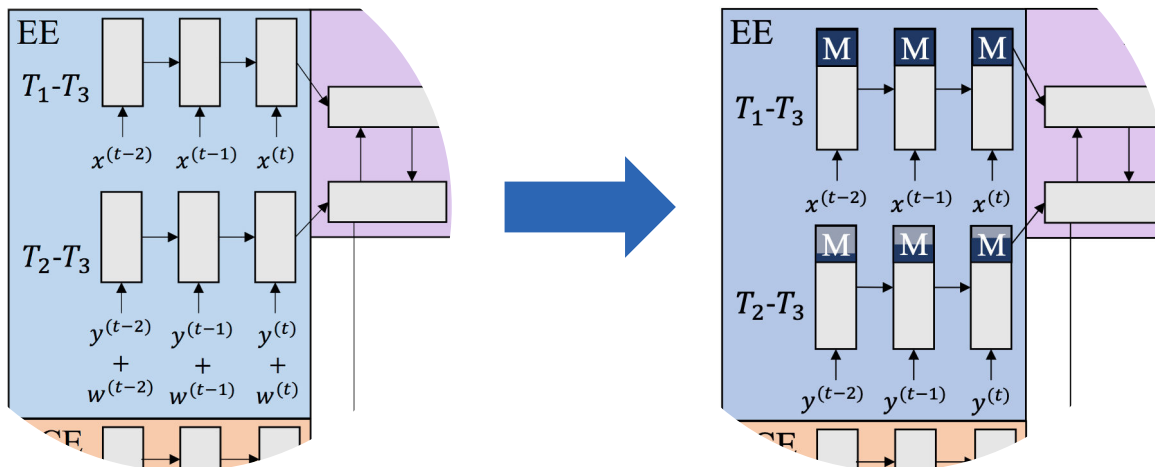
NBA Basketball Players Dataset



# Dynamic Spatiotemporal Graphs

How do you model edges/nodes being added/removed from your graph?

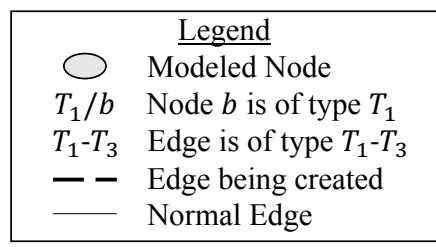
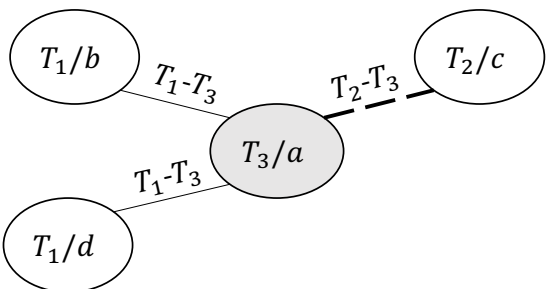
1. Adding/removing edge/node models is critical for computational efficiency
2. We therefore modulate edge/node strengths to smoothly “interpolate” between graph changes



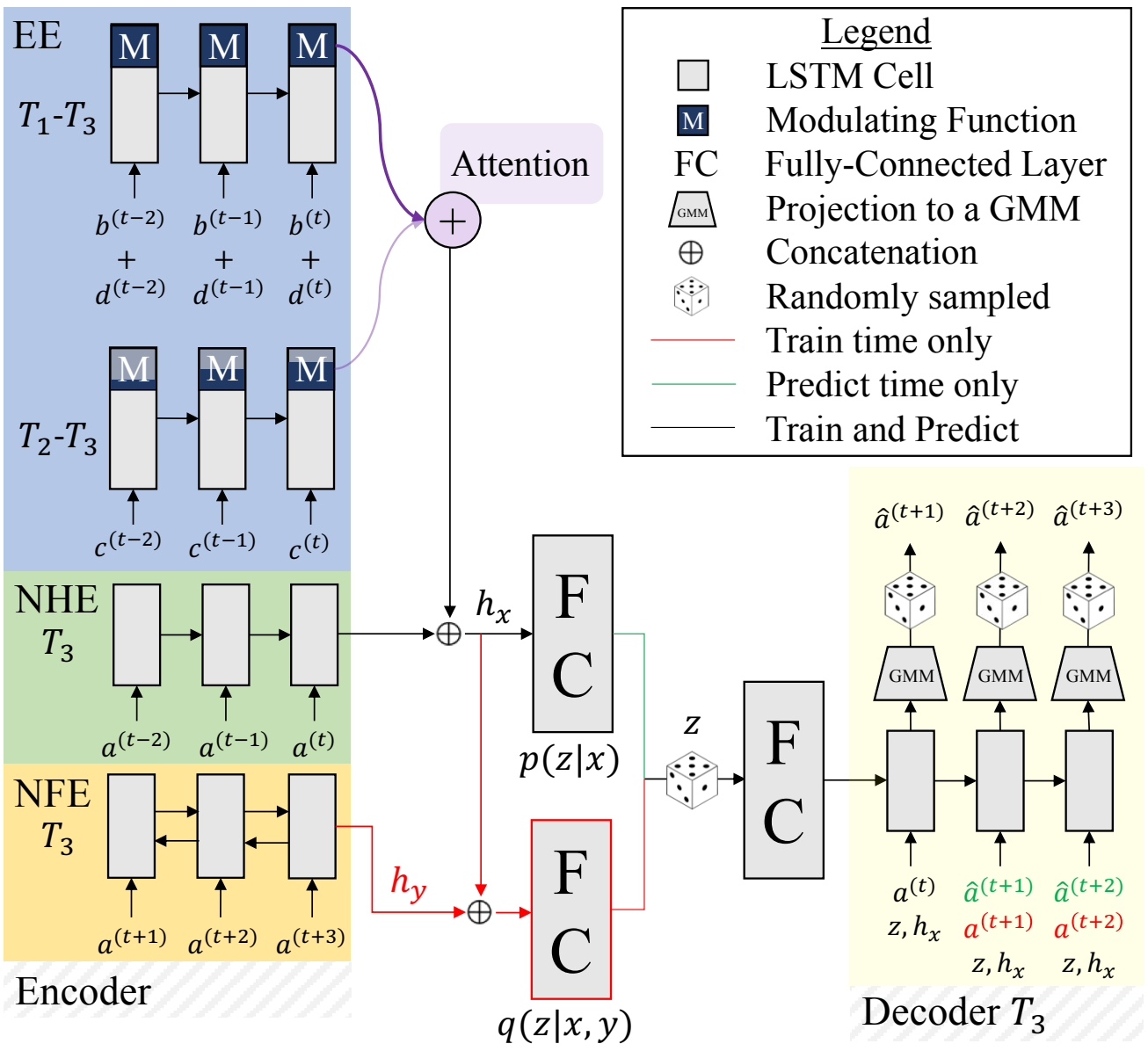
Extra scalar multiplication  
and learned attention layer

# The "Trajectron"

(Ivanovic and Pavone, under review)



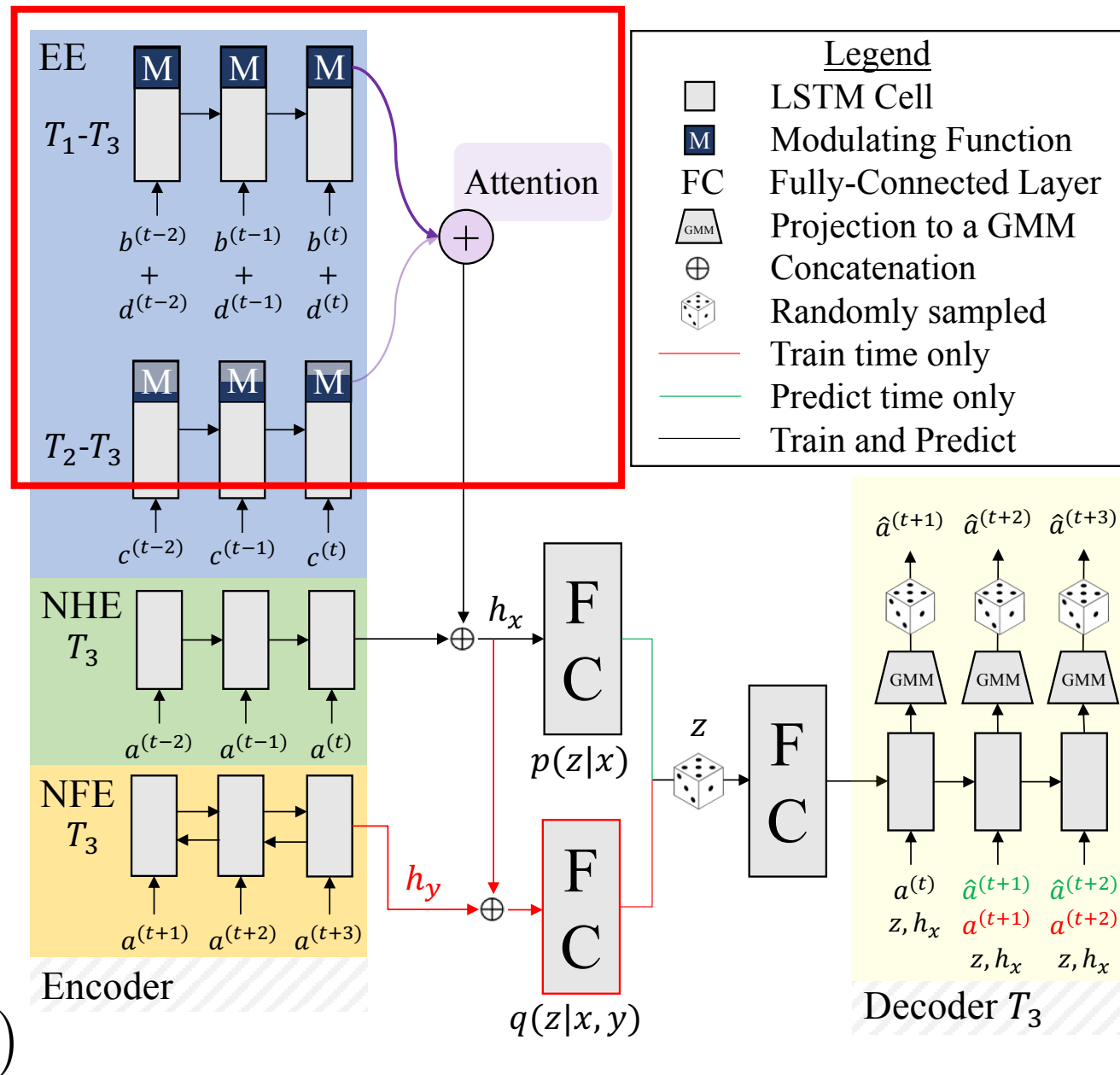
$$\max_{\phi, \theta, \psi} \sum_{i=1}^N \mathbb{E}_{z \sim q_{\phi}(z | \mathbf{x}_i, \mathbf{y}_i)} [p_{\psi}(\mathbf{y}_i | \mathbf{x}_i, z)] - D_{KL}(q_{\phi}(z | \mathbf{x}_i, \mathbf{y}_i) || p_{\theta}(z | \mathbf{x}_i))$$



# The "Trajectron"

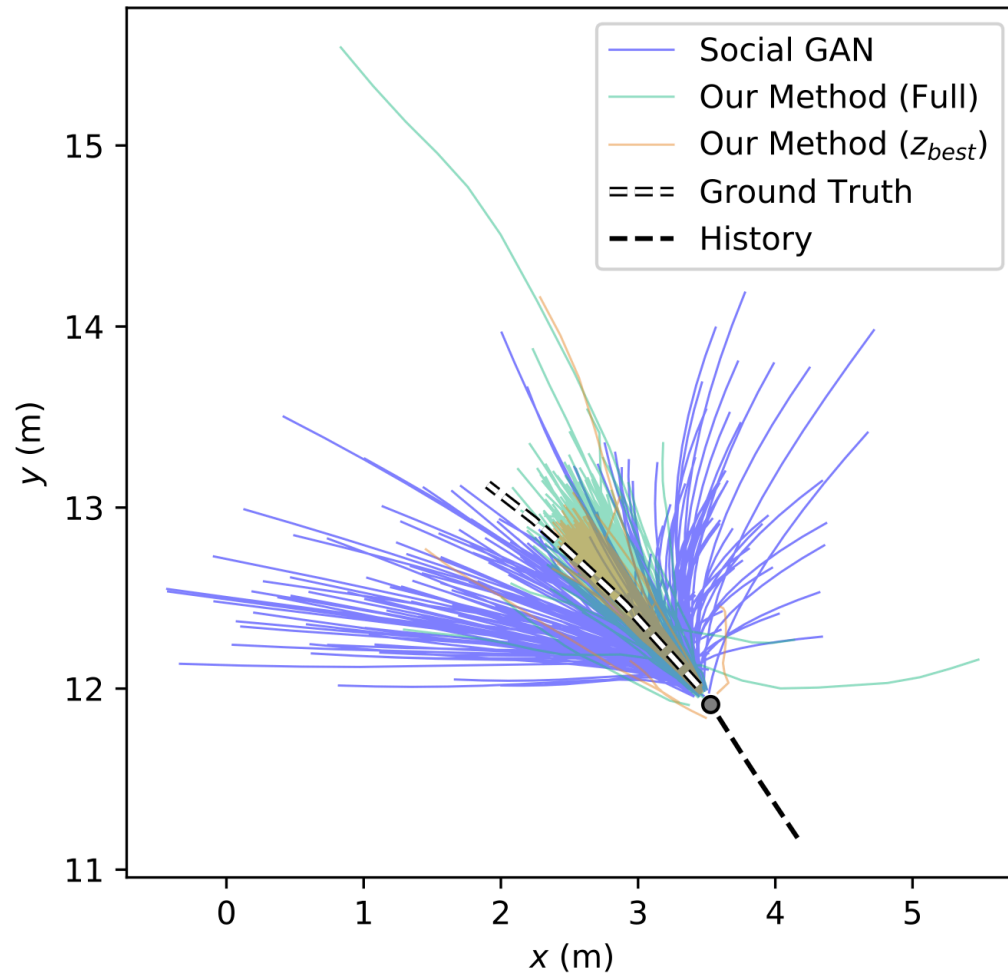
(Ivanovic and Pavone, under review)

Edges are now **fully dynamic**.



$$\max_{\phi, \theta, \psi} \sum_{i=1}^N \mathbb{E}_{z \sim q_{\phi}(z | \mathbf{x}_i, \mathbf{y}_i)} [p_{\psi}(\mathbf{y}_i | \mathbf{x}_i, z)] - D_{KL}(q_{\phi}(z | \mathbf{x}_i, \mathbf{y}_i) || p_{\theta}(z | \mathbf{x}_i))$$

# Results



8 steps of history (3.2s), 12 steps of prediction (4.8s)

More concentrated predictions compared to the previous state of the art (Gupta et al. 2018).

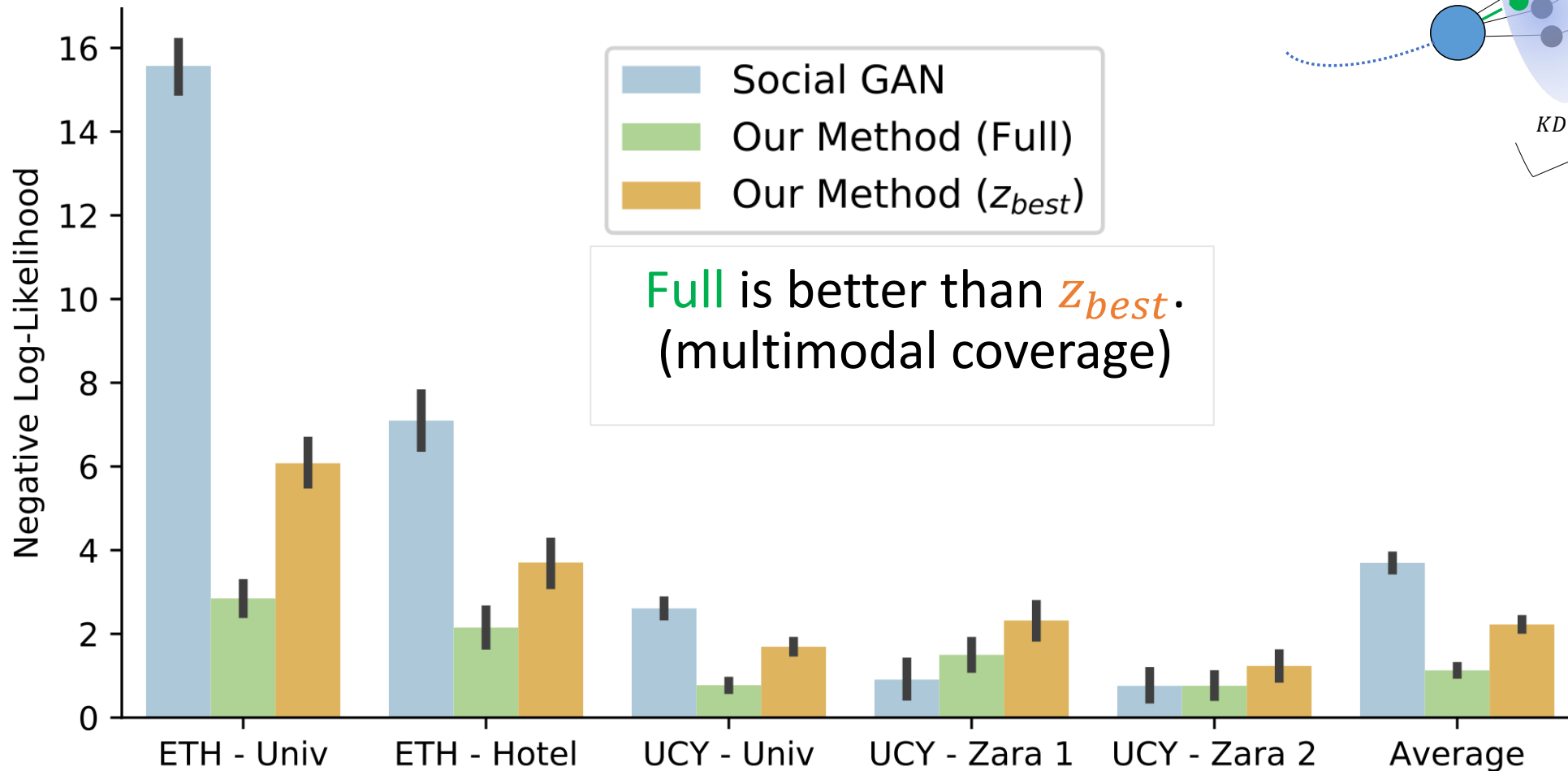
Can choose between accuracy ( $z_{best}$ ) and coverage of potential futures (Full).

$$z_{best} = \arg \max_z p_{\theta}(z | x), y \sim p_{\psi}(y | x, z_{best})$$
$$z \sim p_{\theta}(z | x), y \sim p_{\psi}(y | x, z)$$

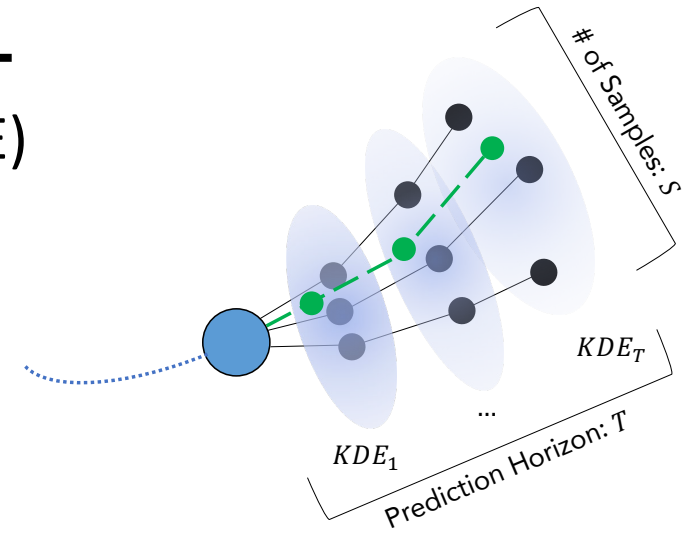


# Results – Negative Log-Likelihood

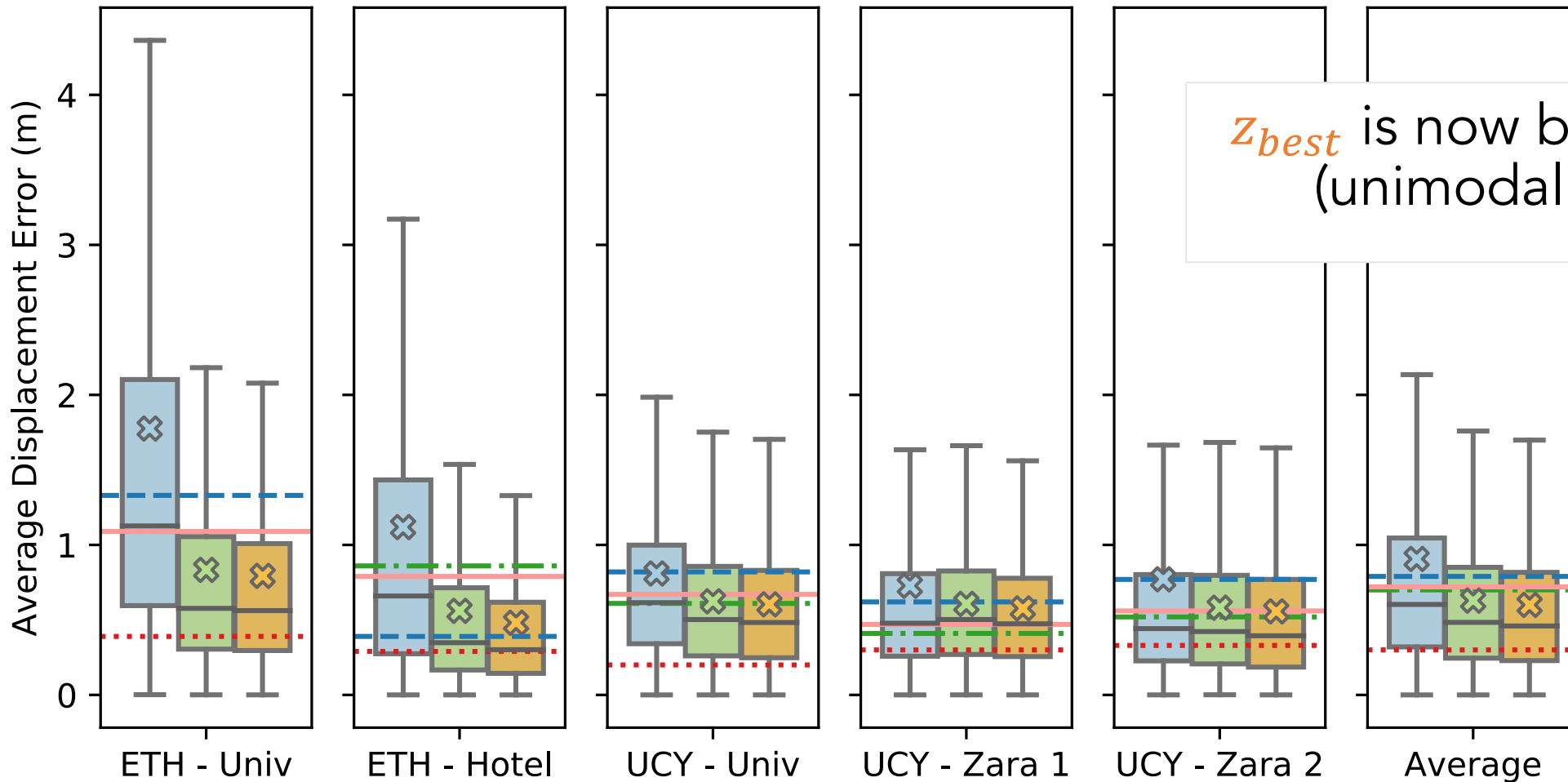
For comparability we use kernel density estimation (KDE) to construct pdfs from sets of sampled trajectories



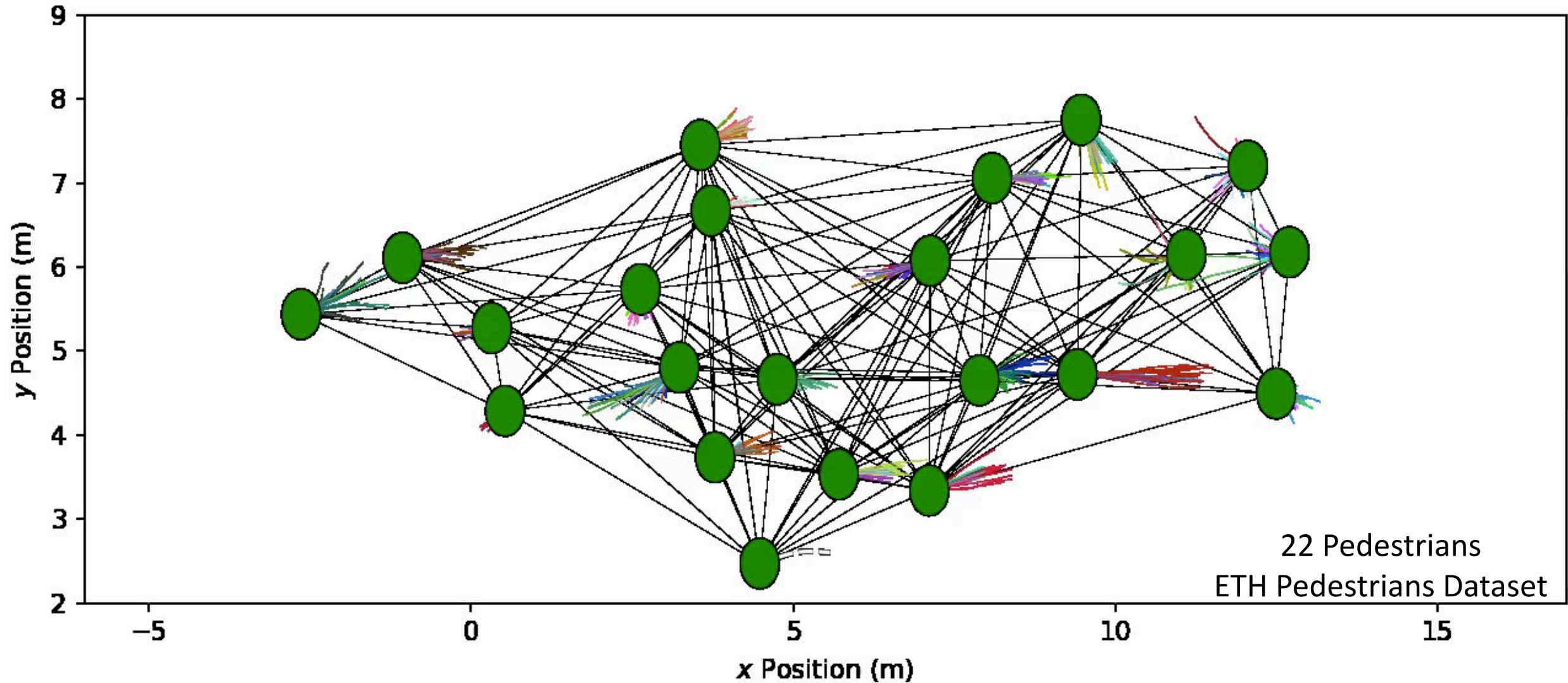
Full is better than  $Z_{best}$ .  
(multimodal coverage)



# Results – Average Displacement Error



# The Trajectron



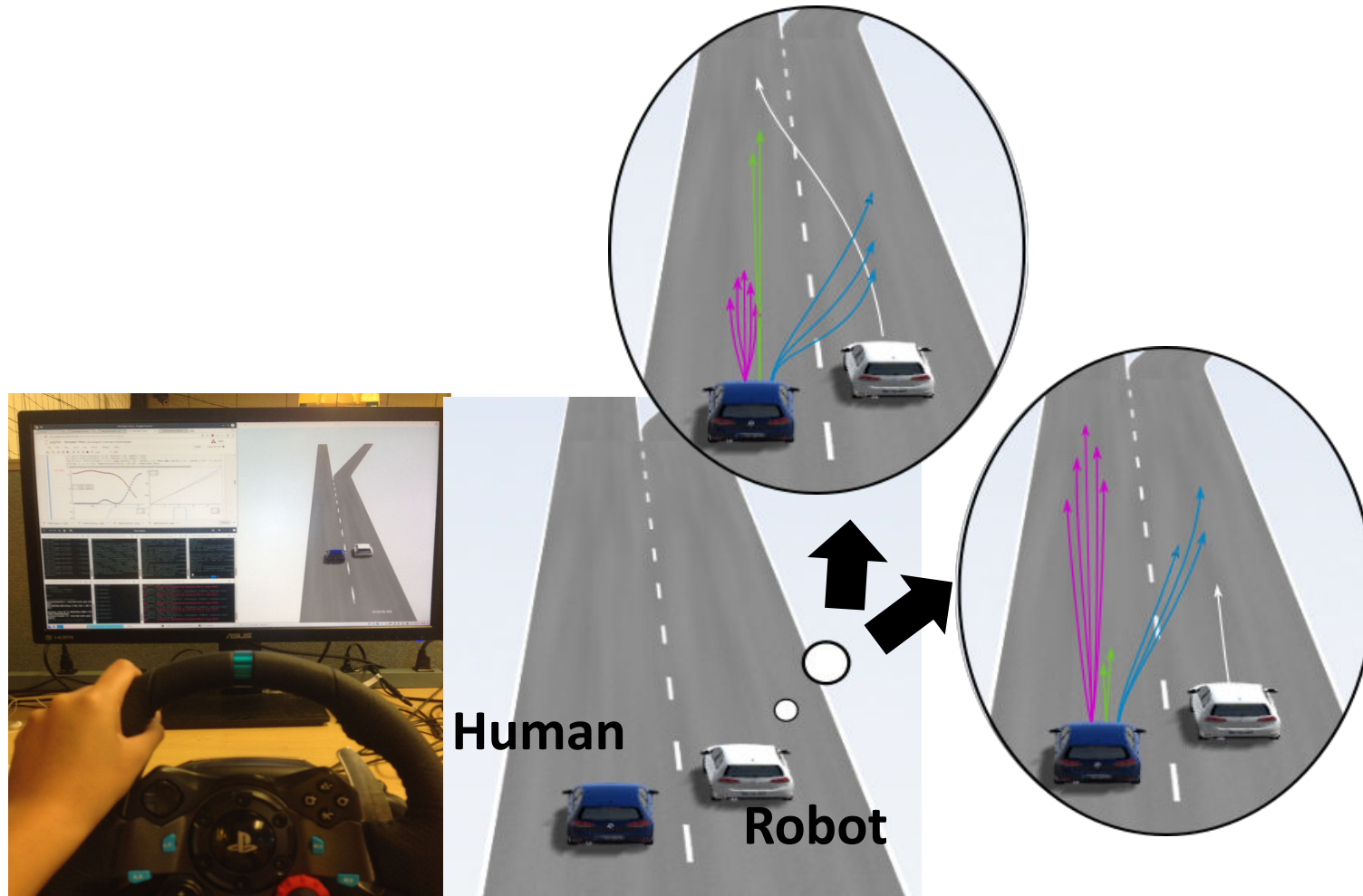
# Ongoing/Future Work

---

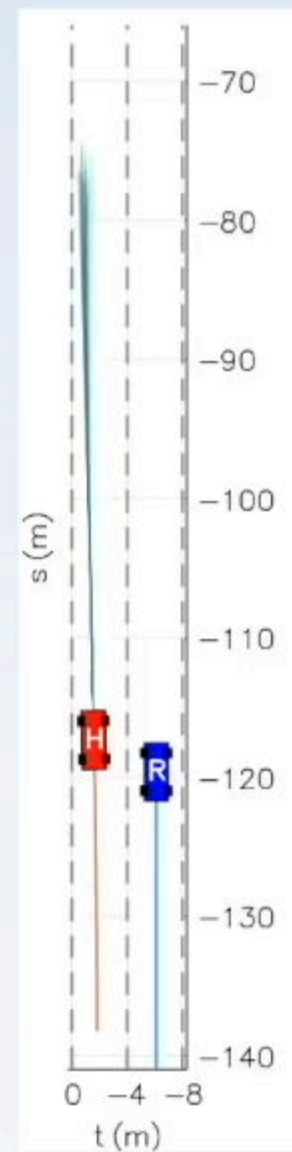
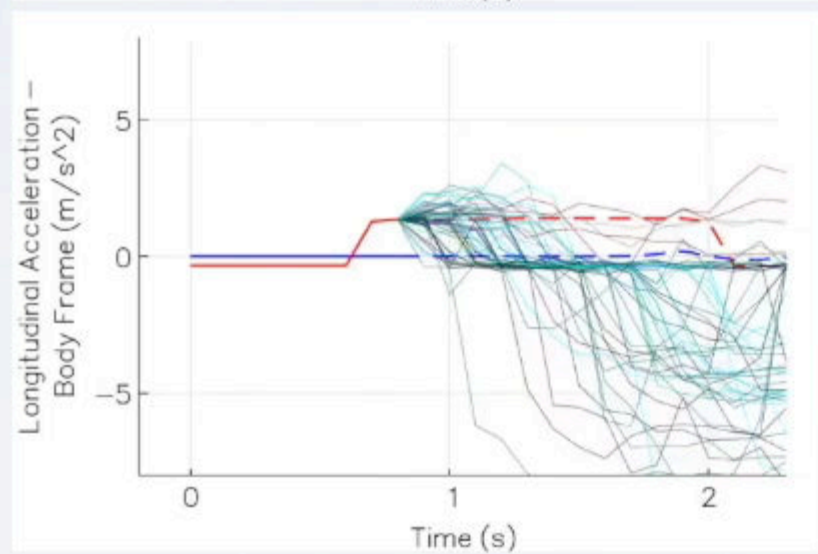
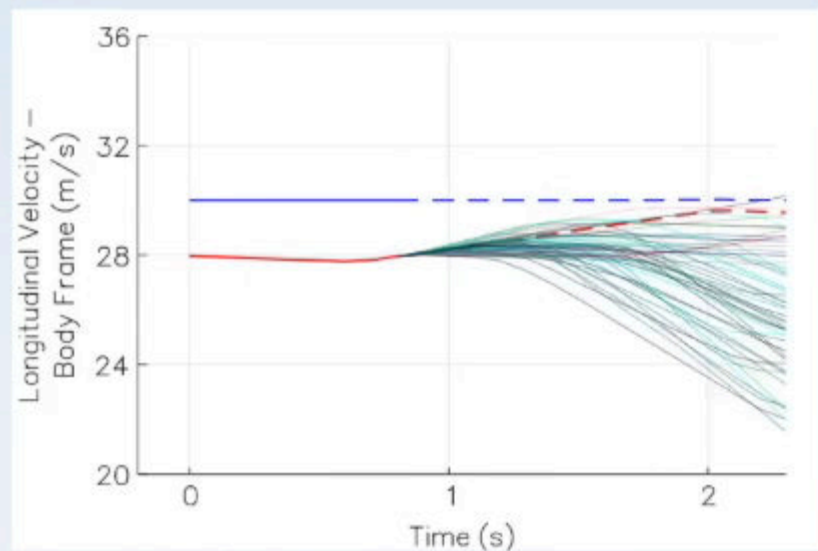
- Spatiotemporal graph-based modeling
  - Here, we're only using the graph at  $t < T$  to make a prediction about  $t > T$   
Modeling future graph evolution → enable truly **long term** motion prediction
  - Moving beyond purely dynamic-state-based representations
- Model-based planning

# Model-Based Planning

(Schmerling, Leung et al. 2018)



(Schmerling, Leung et al. 2018)





**Disaster?!**

00:00:07:06:286

A 3D rendering of a road that splits into two paths. A blue car and a white car are on the main path. The text "Disaster?!" is written in red at the bottom of the image.

**Disaster?!**

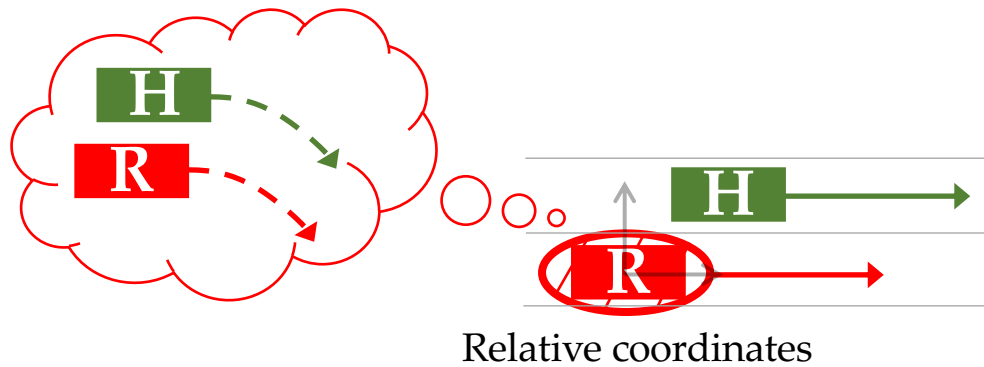
- Replanning is too slow to ensure safety
- Probabilistic model may “get it wrong”, especially with low-likelihood events



# Hamilton-Jacobi Reachability Analysis

"If I want to avoid this set of states in the future, what is the set I should avoid now?"

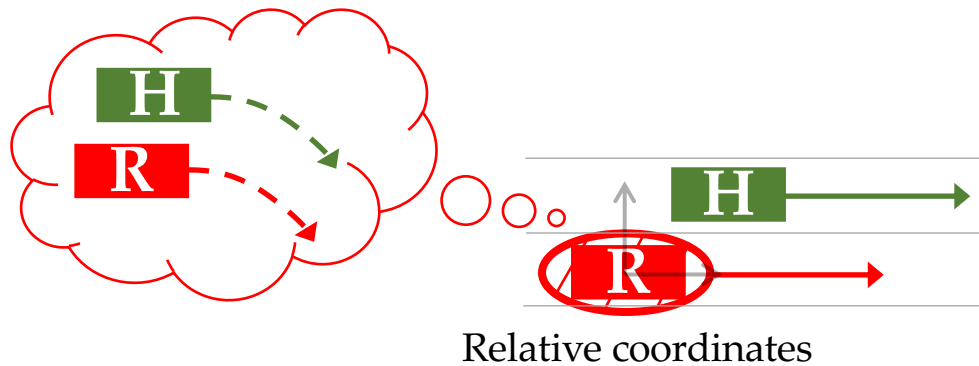
Backward Reachable Set



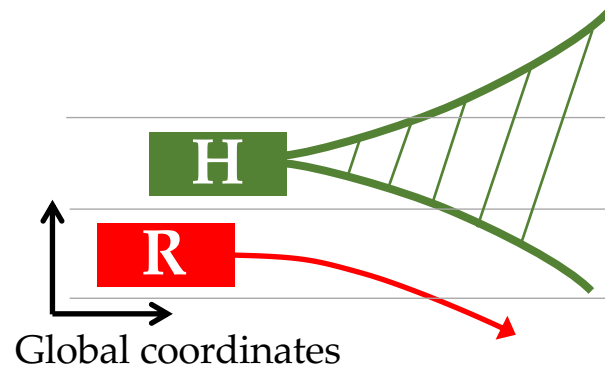
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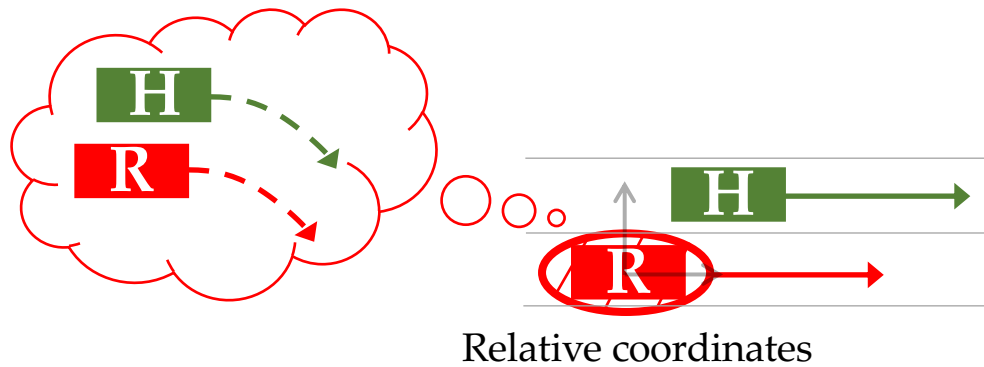
## Forward Reachable Set



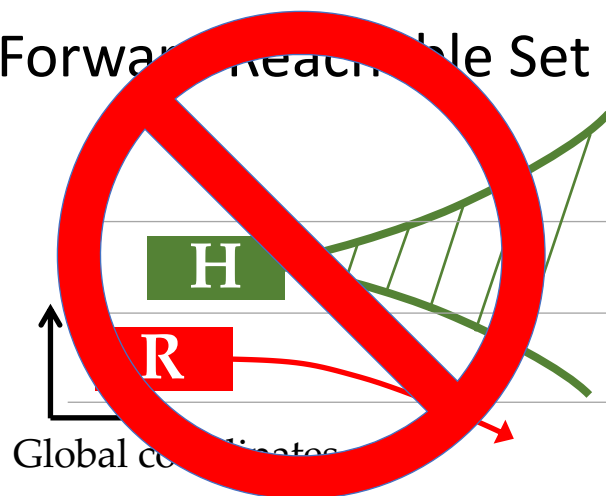
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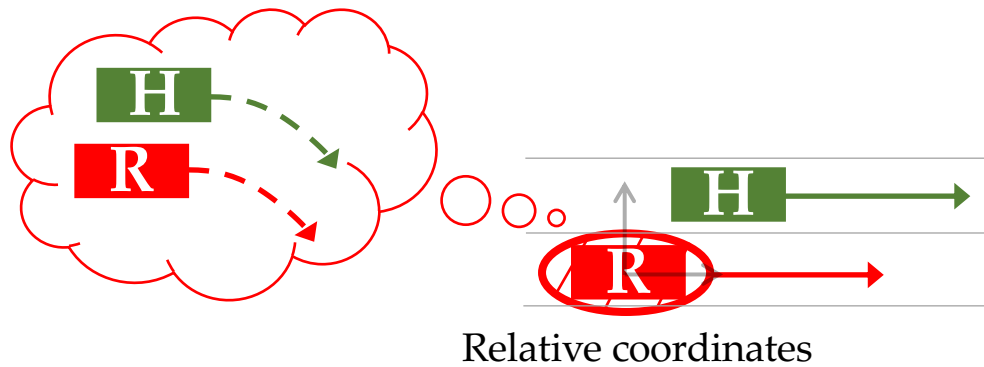
## Forward Reachable Set



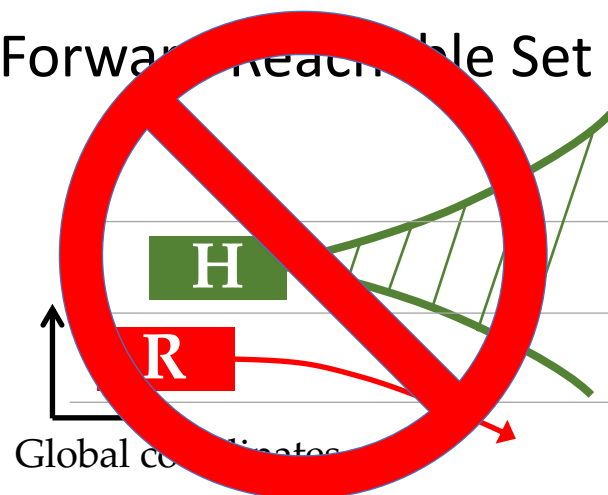
# Hamilton-Jacobi Reachability Analysis

"If I want to avoid this set of states in the future, what is the set I should avoid now?"

Backward Reachable Set



Forward Reachable Set



# Our Contribution

---

How to integrate **safety assurance** within a **performance-centric** planning framework?

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Incorporate HJ reachability as a constraint for a low-level MPC tracking controller

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Does this **guarantee** safety on the road?

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How to integrate **safety assurance** within a **performance-centric** planning framework?

Incorporate HJ reachability as a constraint for a low-level MPC tracking controller

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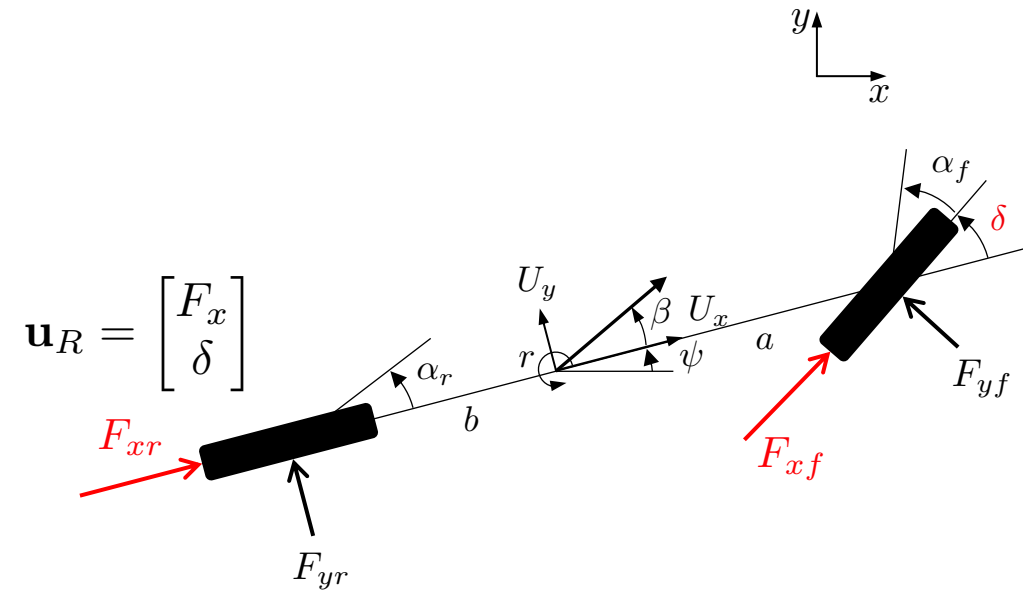
HJ analysis is highly dependent on model fidelity but results are still interpretable



# Relative Vehicle Dynamics

## Robot vehicle dynamics

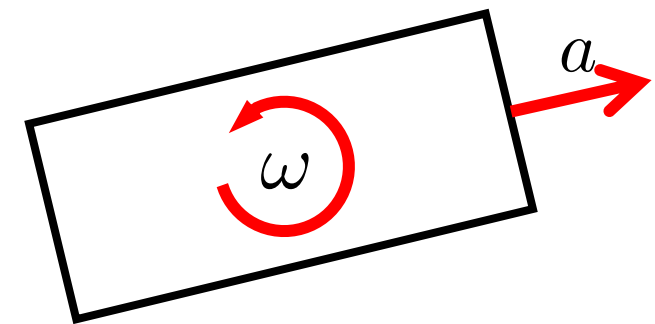
$$\mathbf{x}_R = \begin{bmatrix} x_R \\ y_R \\ \psi_R \\ U_{xR} \\ U_{yR} \\ r_R \end{bmatrix}, \quad \dot{\mathbf{x}}_R = \begin{bmatrix} \dot{x}_R \\ \dot{y}_R \\ \dot{\psi}_R \\ \dot{U}_{xR} \\ \dot{U}_{yR} \\ \dot{r}_R \end{bmatrix} = \begin{bmatrix} U_{xR} \cos \psi_R - U_{yR} \sin \psi_R \\ U_{xR} \sin \psi_R + U_{yR} \cos \psi_R \\ r_R \\ \frac{1}{m}(F_x + F_{x_{drag}}) + r_R U_{yR} \\ \frac{1}{m}(F_{yf} + F_{yr}) - r_R U_{xR} \\ \frac{1}{I_{zz}}(aF_{yf} - bF_{yr}) \end{bmatrix},$$



$$\mathbf{u}_R = \begin{bmatrix} F_x \\ \delta \end{bmatrix}$$

## Human vehicle dynamics

$$\mathbf{x}_H = \begin{bmatrix} x_H \\ y_H \\ \psi_H \\ v_H \end{bmatrix}, \quad \dot{\mathbf{x}}_H = \begin{bmatrix} \dot{x}_H \\ \dot{y}_H \\ \dot{\psi}_H \\ \dot{v}_H \end{bmatrix} = \begin{bmatrix} v_H \cos \psi_H \\ v_H \sin \psi_H \\ \omega \\ a \end{bmatrix}, \quad \mathbf{u}_H = \begin{bmatrix} \omega \\ a \end{bmatrix}$$



# Relative Vehicle Dynamics

---

$$\begin{bmatrix} x_{rel} \\ y_{rel} \end{bmatrix} = R_{-\psi_R} \begin{bmatrix} x_H - x_R \\ y_H - y_R \end{bmatrix}$$

$$\psi_{rel} = \psi_H - \psi_R$$

$$\begin{bmatrix} \dot{x}_{rel} \\ \dot{y}_{rel} \\ \dot{\psi}_{rel} \\ \dot{U}_{x_R} \\ \dot{U}_{y_R} \\ \dot{v}_H \\ \dot{r}_R \end{bmatrix} = \begin{bmatrix} v_H \cos \psi_{rel} - U_{x_R} + y_{rel} r_R \\ v_H \sin \psi_{rel} - U_{y_R} - x_{rel} r_R \\ \omega - r_R \\ \frac{1}{m} (F_x + F_{x_{drag}}) - r_R U_{x_R} \\ \frac{1}{m} (F_{y_f} + F_{y_r}) - r_R U_{y_R} \\ a \\ \frac{1}{I_{zz}} (a F_{y_f} - b F_{y_r}) \end{bmatrix}$$

Relative vehicle dynamics  
(equal control authority)

# Value Function

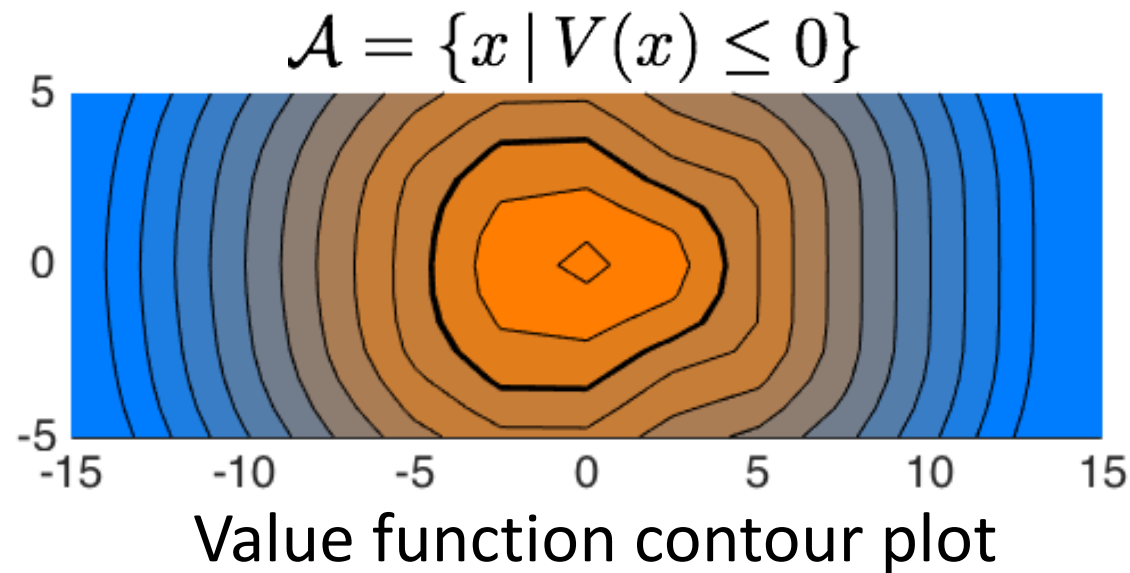
---

- Terminal set is the zero sub-level set of a **value function**
- Value function varies as relative states changes

# Value Function

---

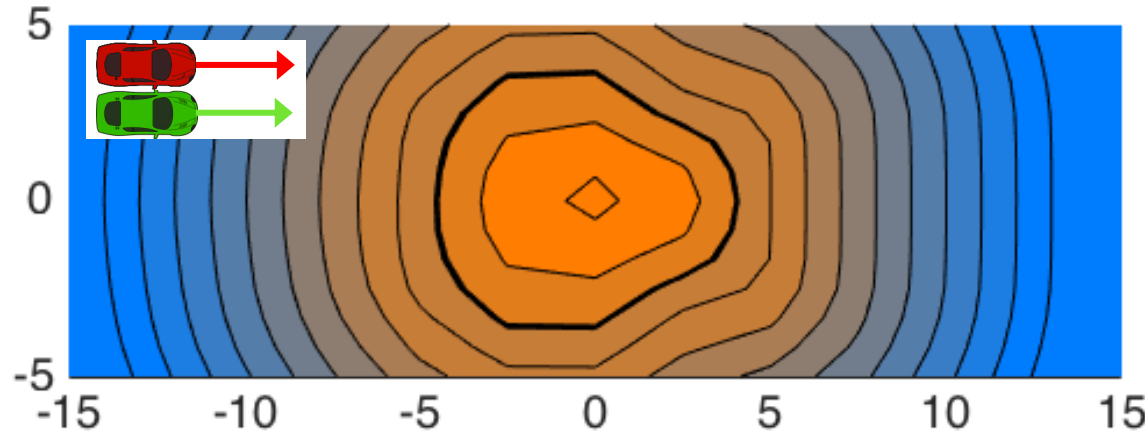
- Terminal set is the zero sub-level set of a **value function**
- Value function varies as relative states changes



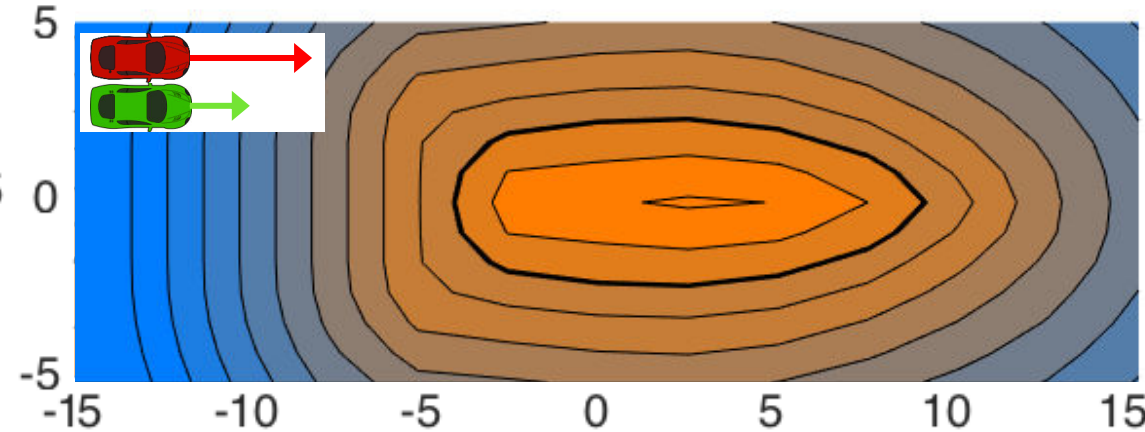
$$u_R^* = \arg \max_{u_R} \min_{u_H} \nabla V(x_{\mathcal{R}}) \cdot f(x_{\mathcal{R}}, u_R, u_H)$$

# Value Function Contour Plots

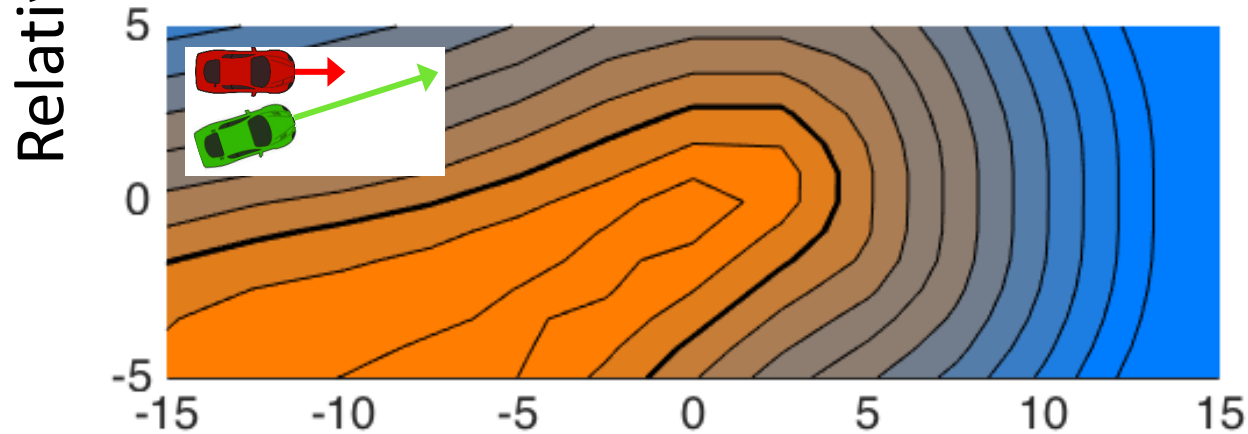
## Parallel, equal speeds



## Parallel, robot car faster

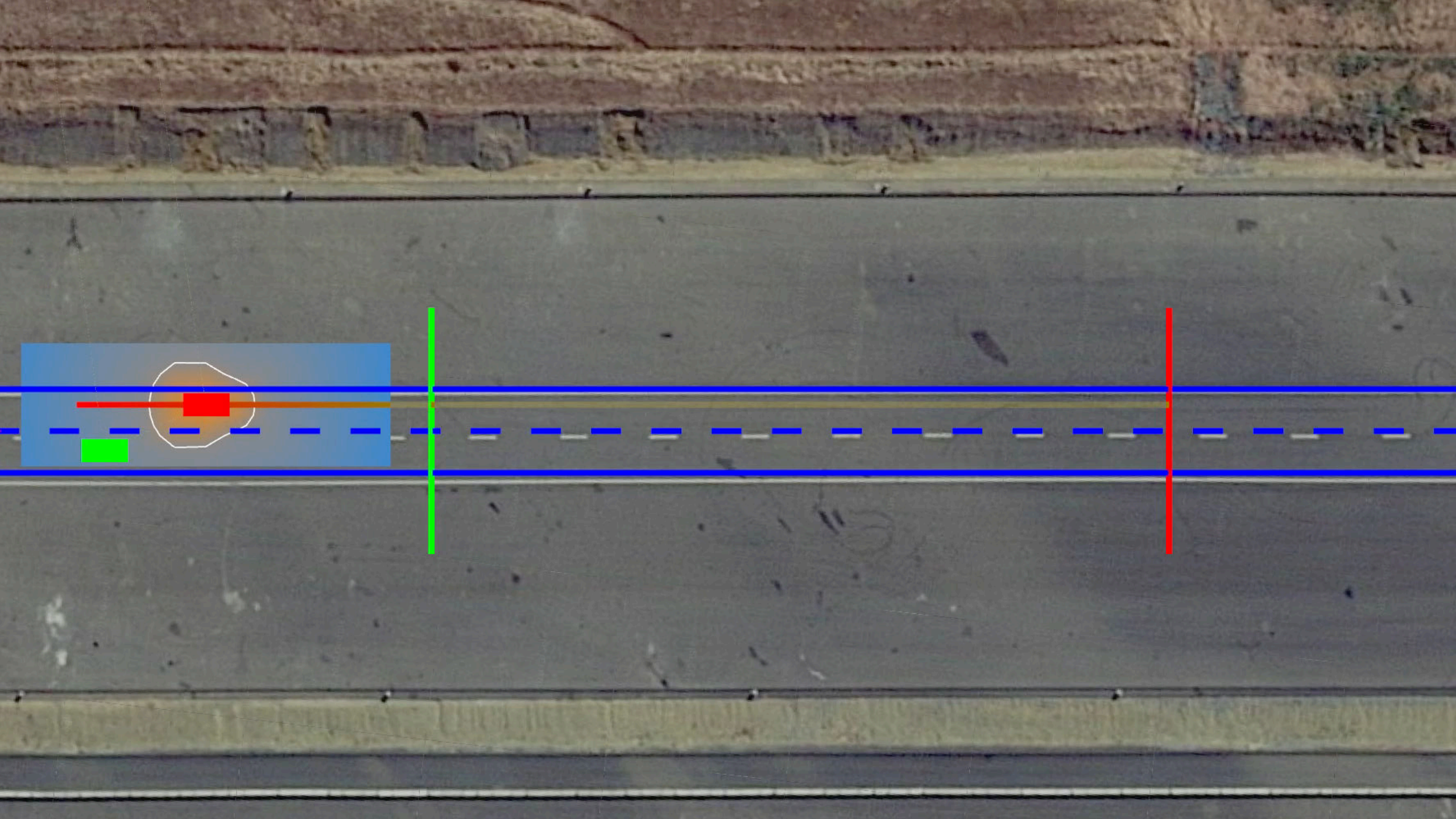


## Non-parallel, human car faster



— Zero-level set

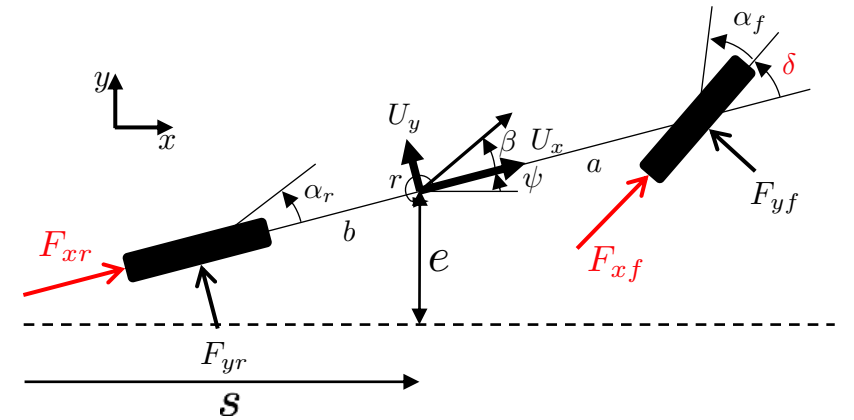
Relative x position



# MPC Tracking Controller

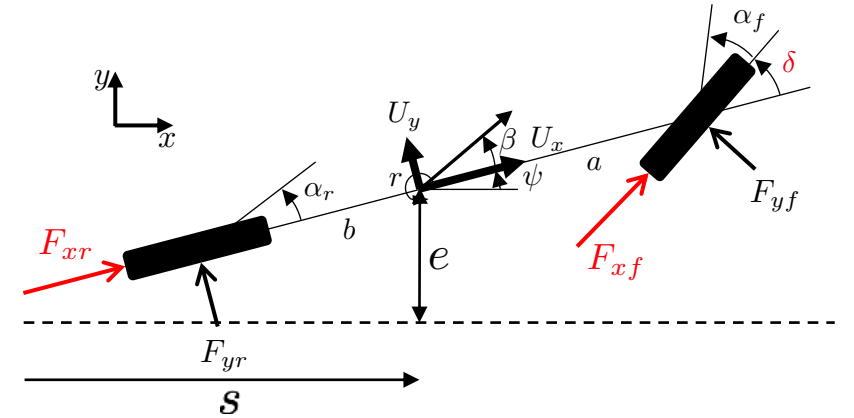
- Quadratic program
- Centimeter accuracy
- 100Hz

(Leung\*, Schmerling\*, et al. 2018)



# MPC Tracking Controller

- Quadratic program
- Centimeter accuracy
- 100Hz

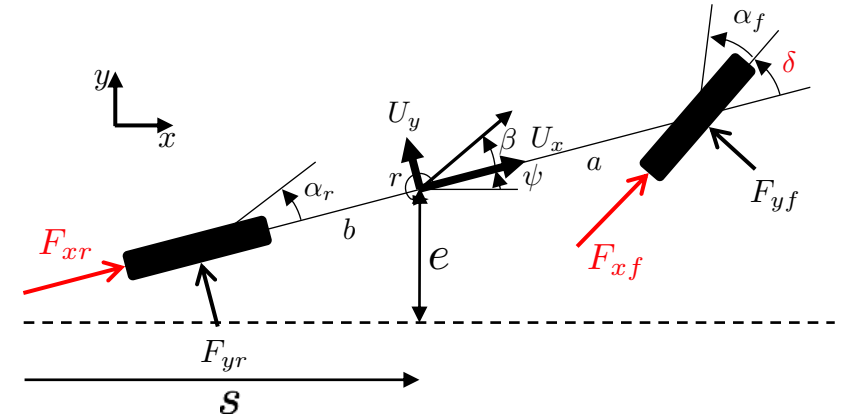


$$\begin{aligned}
 & \text{minimize}_{q,u,\sigma,\sigma_{HJI},\Delta\delta,\Delta F_x} \sum_{k=1}^T \Delta s_k^T Q_{\Delta s} \Delta s_k + \Delta \psi_k^T Q_{\Delta \psi} \Delta \psi_k + e_k^T Q_e e_k + \Delta \delta_k^T R_{\Delta \delta} \Delta \delta_k + \\
 & \quad \Delta F_{x,k}^T R_{\Delta F_x} \Delta F_{x,k} + W_\beta \sigma_{\beta,k} + W_r \sigma_{r,k} + W_{HJI} \sigma_{HJI,k} \\
 & \text{subject to } \delta_{k+1} - \delta_k = \Delta \delta_k, \quad \Delta \delta_{min} \leq \Delta \delta_k \leq \Delta \delta_{max}, \quad \delta_{min} \leq \delta_k \leq \delta_{max} \\
 & \quad F_{x,k+1} - F_{x,k} = \Delta F_{x,k}, \quad V_{min} \leq U_{x,k} \leq V_{min}, \quad F_{x,min} \leq F_{x,k} \leq F_{x,max} \\
 & \quad \sigma_{1,k} \geq 0, \quad \sigma_{2,k} \geq 0, \quad \sigma_{HJI,j} \geq 0 \\
 & \quad H_k \begin{bmatrix} U_{y,k} \\ r_k \end{bmatrix} - G_k \leq \begin{bmatrix} \sigma_{\beta,k} \\ \sigma_{r,k} \end{bmatrix}, \quad A_k q_k + B_k^- u_k + B_k^+ u_{k+1} + c_k = q_{k+1} \\
 & \quad q_1 = q_{curr}, \quad u_1 = u_{curr}, \quad M_{HJI} u_j + b_{HJI} \geq -\sigma_{HJI} \\
 & \quad \text{for } j = 1, \dots, T_{HJI}, \quad k = 1, \dots, T
 \end{aligned}$$



# MPC Tracking Controller

- Quadratic program
- Centimeter accuracy
- 100Hz



$$\text{minimize}_{q,u,\sigma,\sigma_{HJI},\Delta\delta,\Delta F_x} \sum_{k=1}^T$$

Quadratic cost: position error, control, control rate, slack variables

subject to

$$\delta_{k+1} - \delta_k = \Delta\delta_k, \quad \Delta\delta_{min} \leq \Delta\delta_k \leq \Delta\delta_{max}, \quad \delta_{min} \leq \delta_k \leq \delta_{max}$$

$$F_{x,k+1} - F_{x,k} = \Delta F_{x,k}, \quad V_{min} \leq U_{x,k} \leq V_{max}, \quad F_{x,min} \leq F_{x,k} \leq F_{x,max}$$

$$\sigma_{1,k} \geq 0, \quad \sigma_{2,k} \geq 0, \quad \sigma_{HJI,j} \geq 0$$

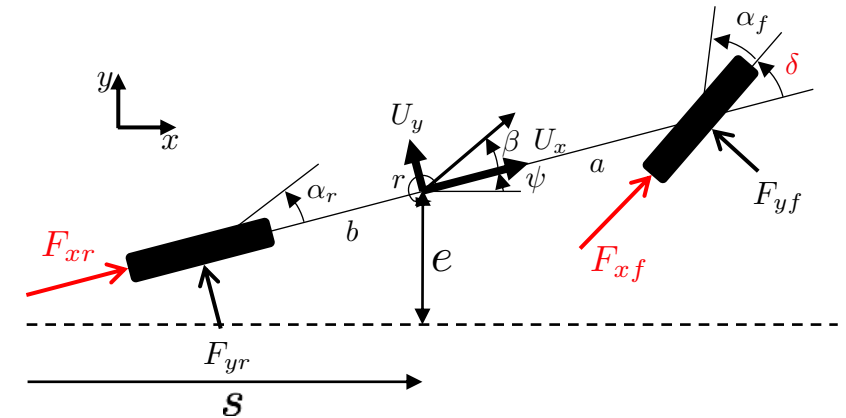
$$H_k \begin{bmatrix} U_{y,k} \\ r_k \end{bmatrix} - G_k \leq \begin{bmatrix} \sigma_{\beta,k} \\ \sigma_{r,k} \end{bmatrix}, \quad A_k q_k + B_k^- u_k + B_k^+ u_{k+1} + c_k = q_{k+1}$$

$$q_1 = q_{curr}, \quad u_1 = u_{curr}, \quad M_{HJI} u_j + b_{HJI} \geq -\sigma_{HJI}$$

for  $j = 1, \dots, T_{HJI}, \quad k = 1, \dots, T$

# MPC Tracking Controller

- Quadratic program
- Centimeter accuracy
- 100Hz



minimize  $q, u, \sigma, \sigma_{HJI}, \Delta \delta, \Delta F_x$   $\sum_{k=1}^T$

Quadratic cost: position error, control, control rate, slack variables

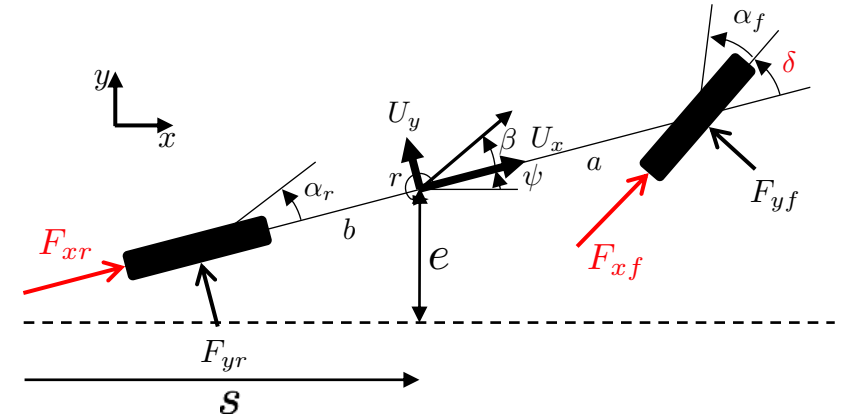
subject to

Constraints: Continuity, saturation, positivity in slack variables, stability and environmental envelope, linearized dynamics, initial conditions

for  $j = 1, \dots, T_{HJI}, \quad k = 1, \dots, T$

# MPC Tracking Controller

- Quadratic program
- Centimeter accuracy
- 100Hz



minimize  $q, u, \sigma, \sigma_{HJI}, \Delta \delta, \Delta F_x$   $\sum_{k=1}^T$

Quadratic cost: position error, control, control rate, slack variables

subject to

Constraints: Continuity, saturation, positivity in slack variables, stability and environmental envelope, linearized dynamics, initial conditions

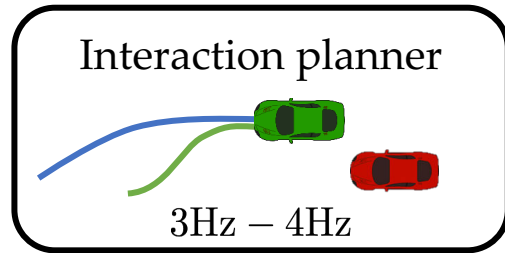
Reachability constraint

for  $j = 1, \dots, T_{HJI}, k = 1, \dots, T$

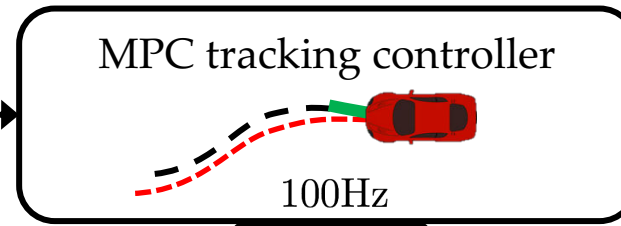
# Probabilistic Model-based Planning

Schmerling, Leung, et al, ICRA 2018

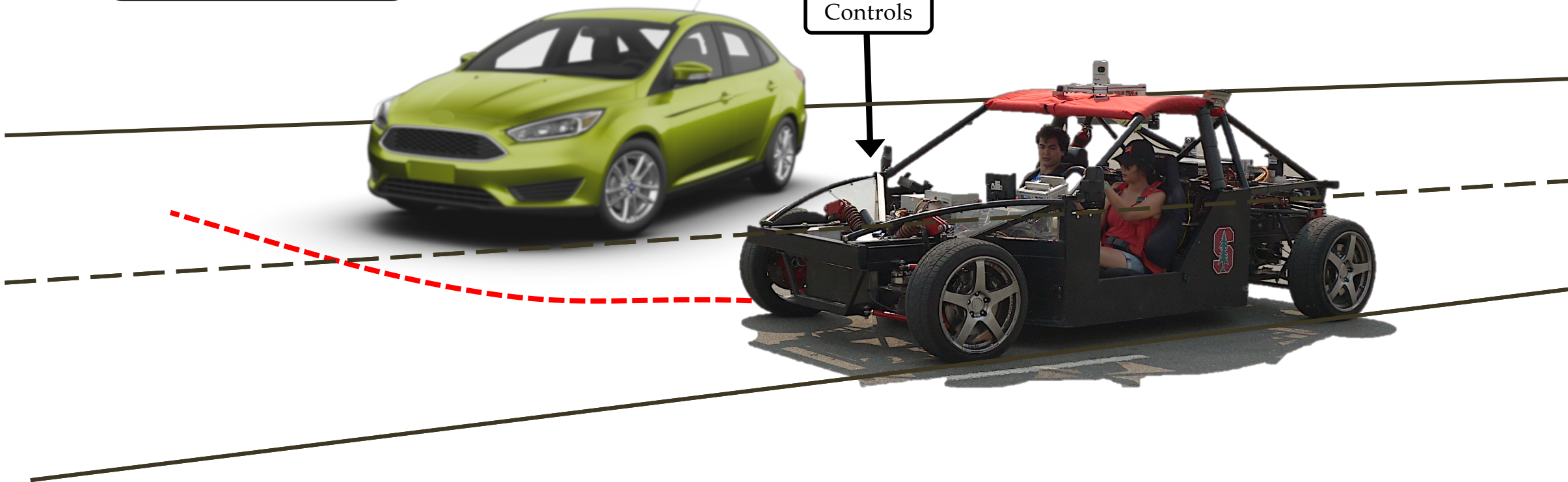
Brown, Funke, et al, CEP, 2017



Robot desired traj.



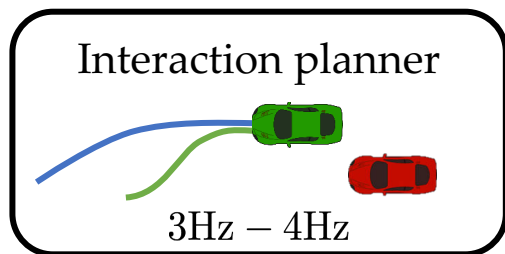
Controls



(Leung\*, Schmerling\*, et al. 2018)

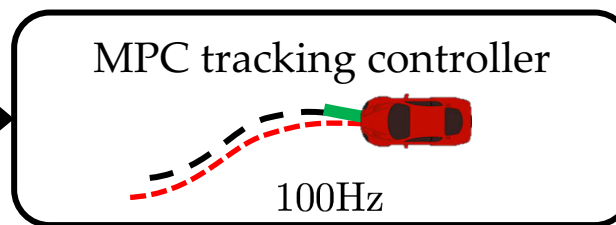
# Probabilistic Model-based Planning with **Safety Assurance**

Schmerling, Leung, et al, ICRA 2018



Robot desired traj.

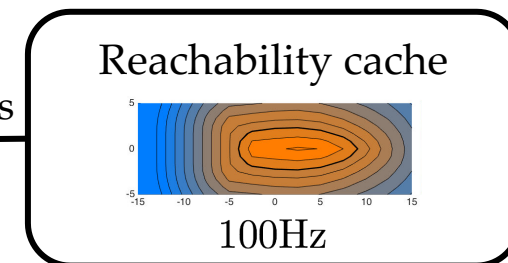
Brown, Funke, et al, CEP, 2017



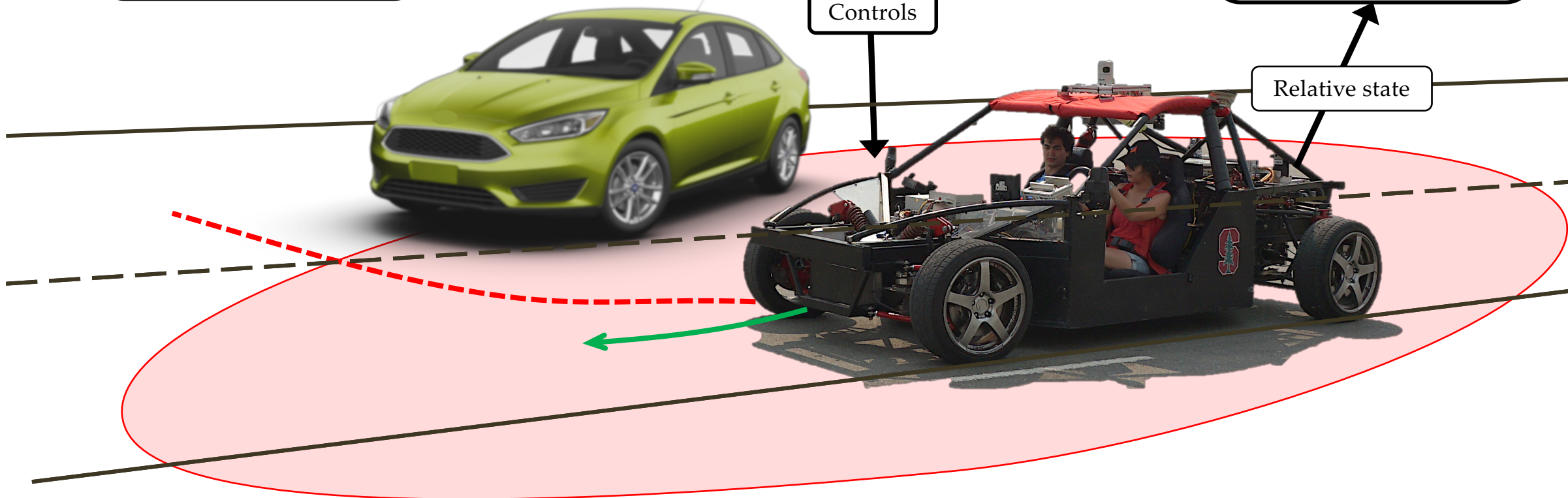
Controls

Chen and Tomlin, AR of CRAS, 2018.

Safety constraints



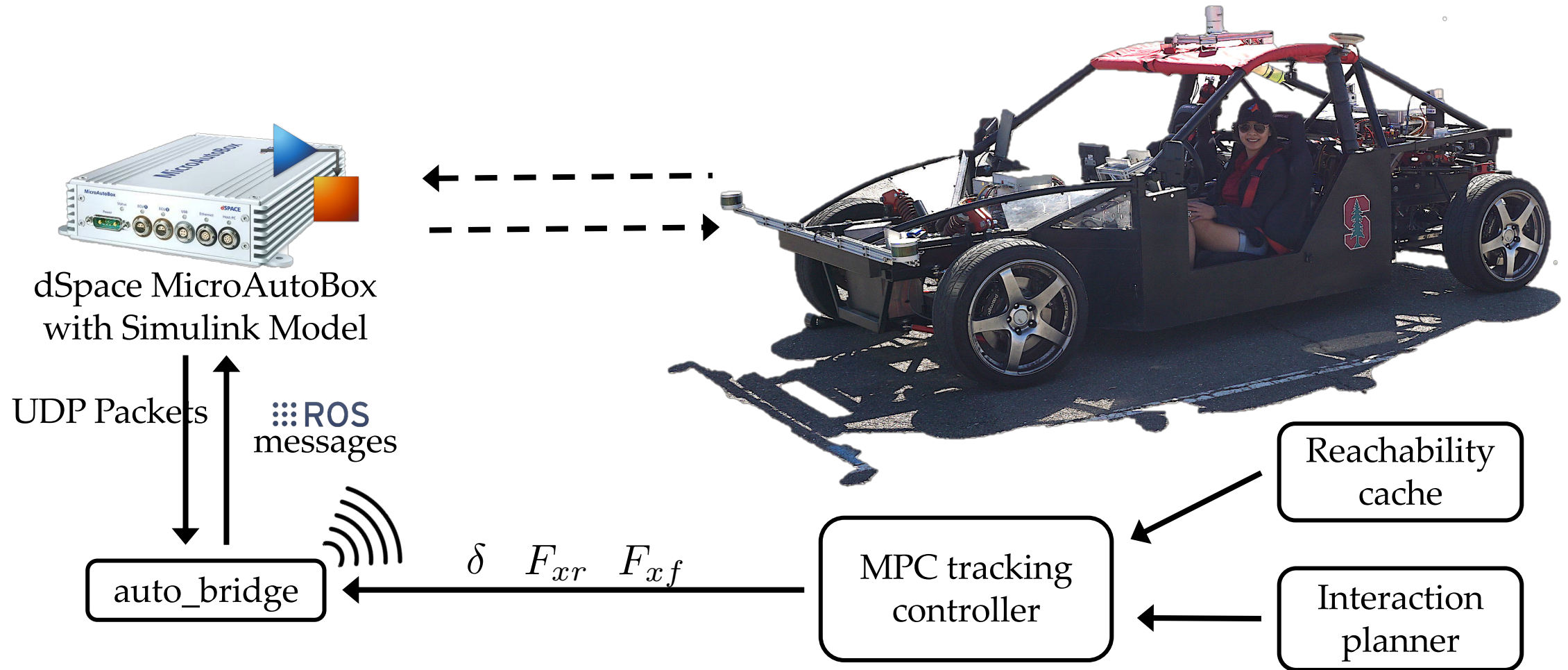
Relative state



(Leung\*, Schmerling\*, et al. 2018)

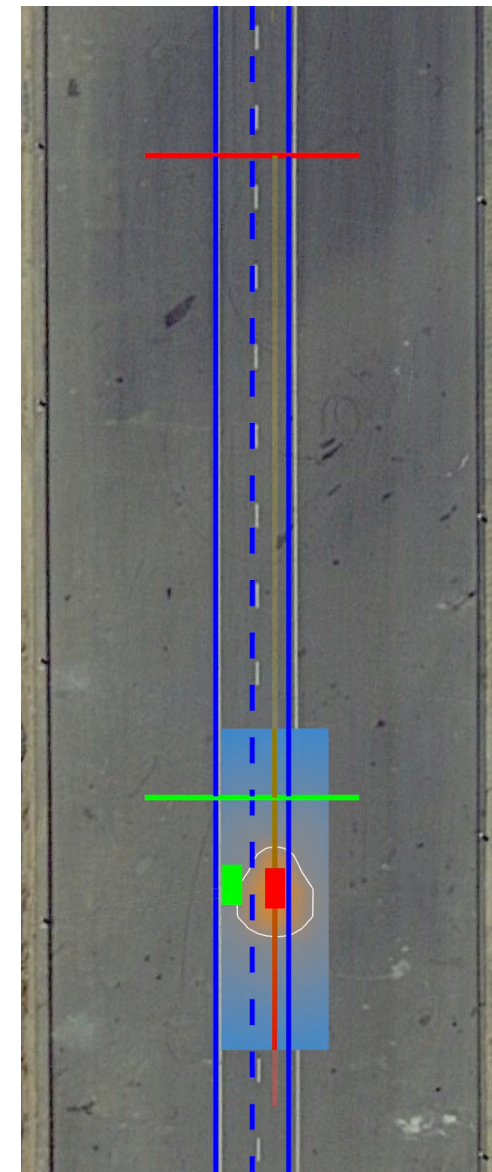
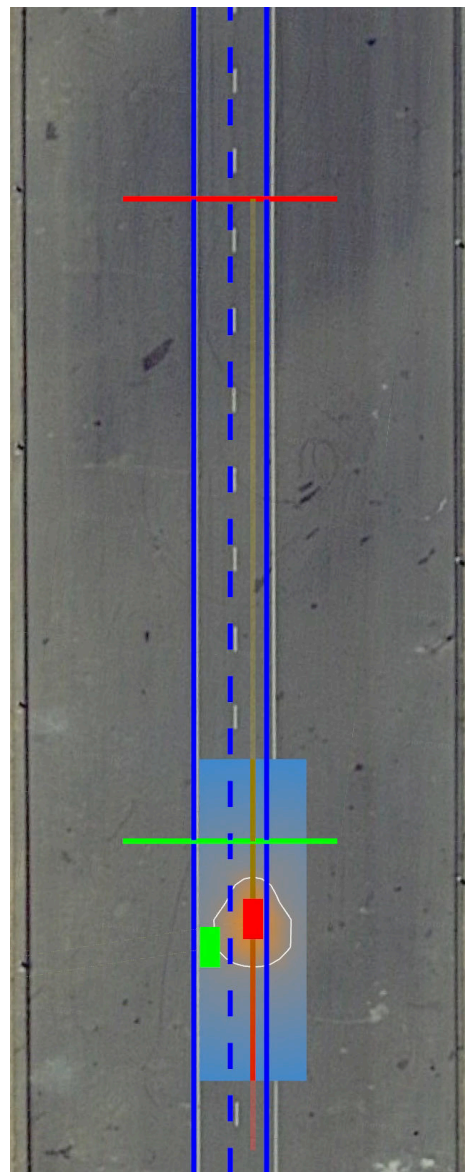
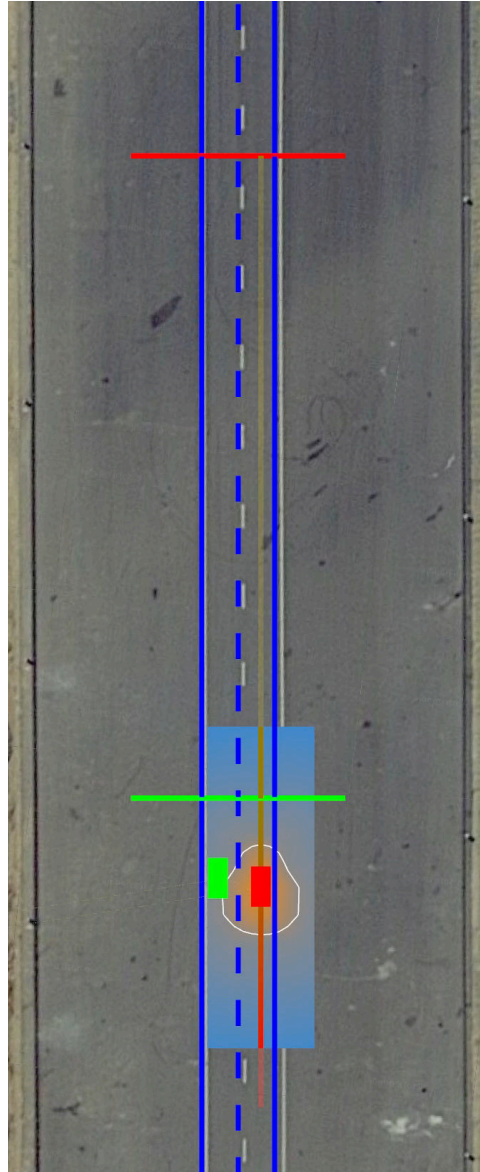
# Experimental Platform (X1)

(Leung\*, Schmerling\*, et al. 2018)



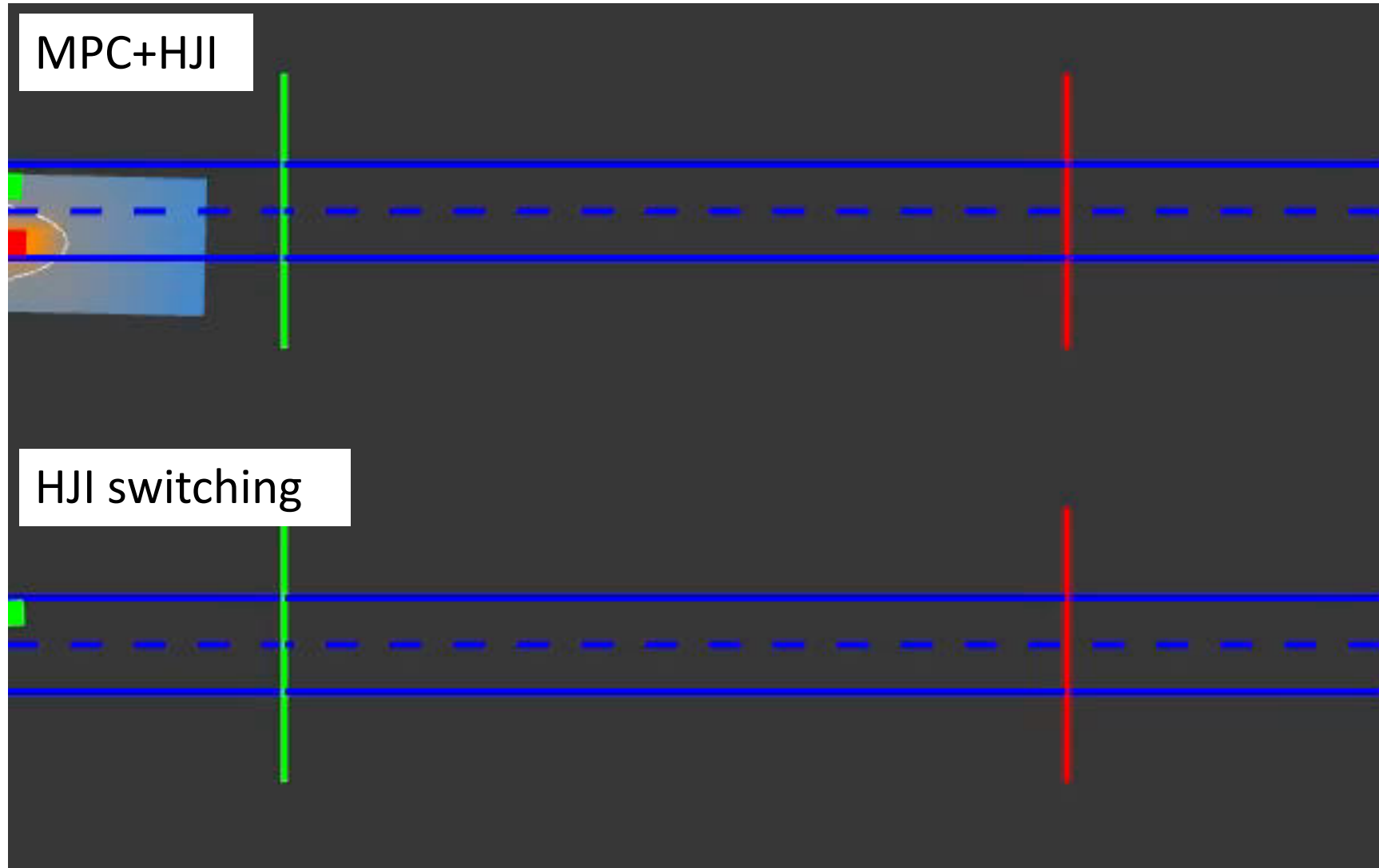
# Experimental Results

(Leung\*, Schmerling\*, et al. 2018)



# Experimental Results

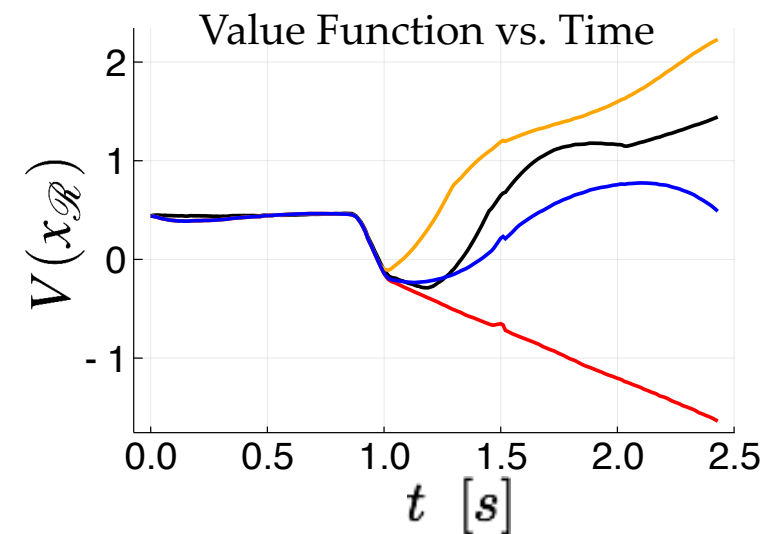
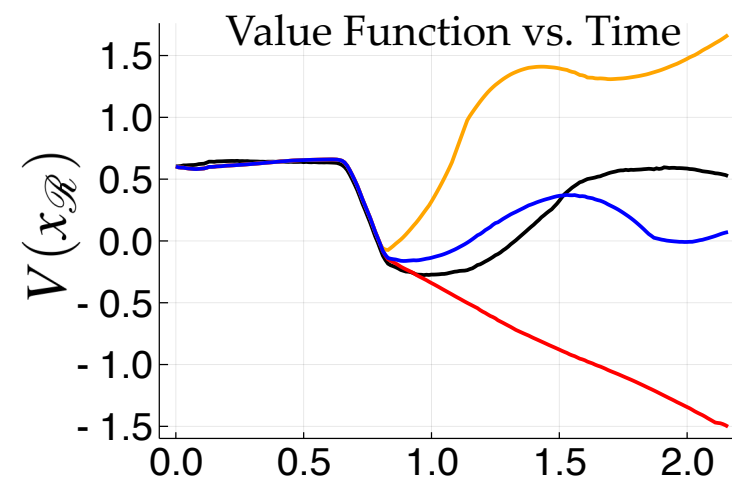
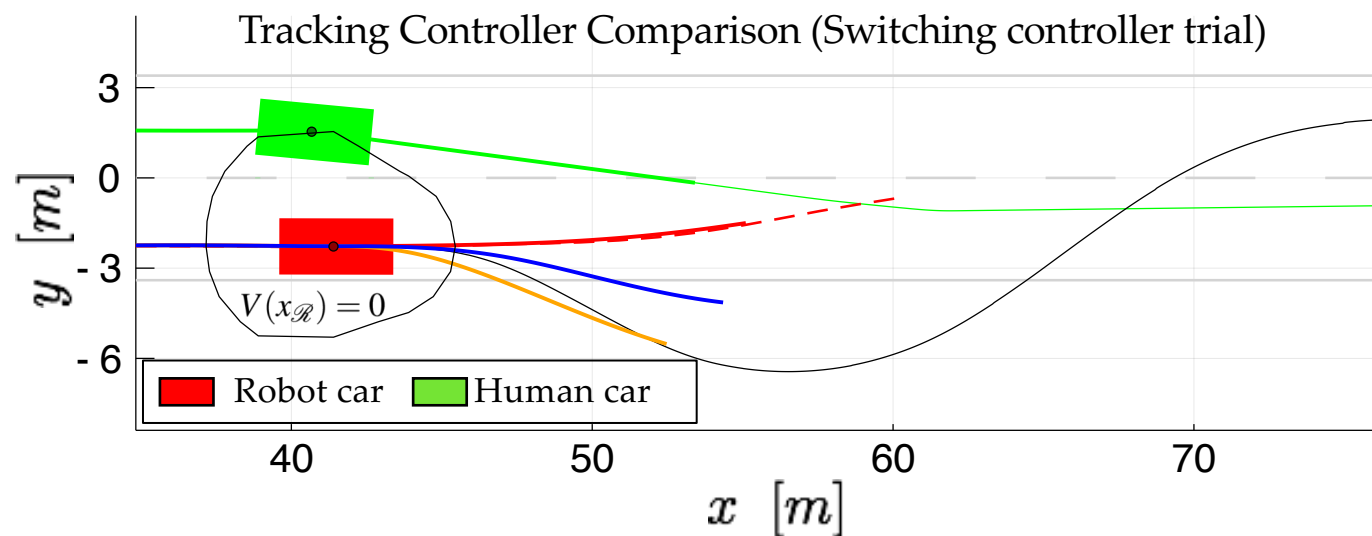
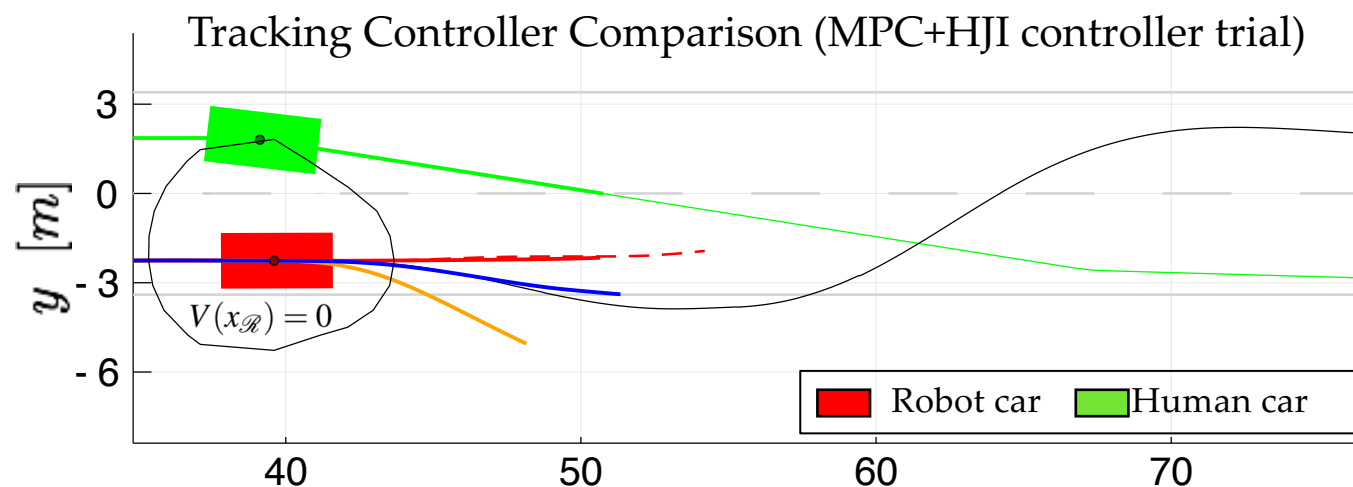
(Leung\*, Schmerling\*, et al. 2018)





# Experimental Results

(Leung\*, Schmerling\*, et al. 2018)

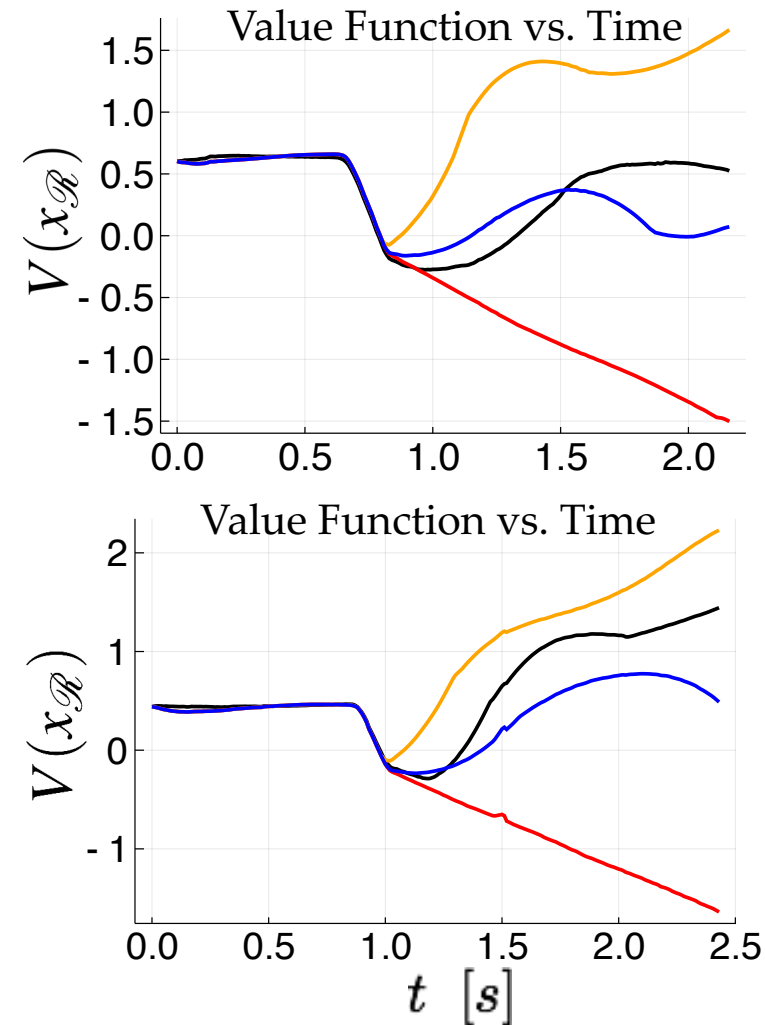


--- Planned nominal trajectory    — MPC    — MPC+HJI    — Switching    — Robot trajectory (experiment)    — Human trajectory

# Experimental Insights

- Unmodeled steering angle slew rate causes us to dip into danger
- Negative value function represents worst-case collision penetration
  - Alternative: collision severity
- Interpretability of value function is key for more realistic scenarios (barriers, multiple other agents)
- Ongoing experimental work: incorporating static obstacles as MPC constraints

(Leung\*, Schmerling\*, et al. 2018)



1/10 scale RC car



# Referenced Papers

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- B. Ivanovic, E. Schmerling, K. Leung and M. Pavone, “Generative Modeling of Multimodal Multi-Human Behavior,” in IEEE/RSJ Int. Conf. on Intelligent Robots & Systems, 2018. Available at <https://arxiv.org/abs/1803.02015>.
- B. Ivanovic and M. Pavone, “The Trajectron: Probabilistic Multi-Agent Trajectory Modeling with Dynamic Spatiotemporal Graphs,” Arxiv Preprint. Available at <https://arxiv.org/abs/1810.05993>.
- E. Schmerling, K. Leung, W. Vollprecht and M. Pavone, “Multimodal Probabilistic Model-Based Planning for Human-Robot Interaction,” in Proc. IEEE Conf. on Robotics and Automation, 2018. Available at <https://arxiv.org/abs/1710.09483>.
- K. Leung, E. Schmerling, M. Chen, J. Talbot, J.C. Gerdes and M. Pavone, “On Infusing Reachability-Based Safety Assurance within Probabilistic Planning Frameworks for Human-Robot Vehicle Interactions,” in Int. Symp. on Experimental Robotics, 2018. Available at <https://arxiv.org/abs/1812.11315>.